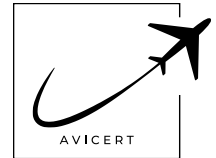


# Compliance Matrix – PART-ORGH

EASA Audit Checklist · ground-handling · v2025-11-05



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**Reviewed against:** Easy Access Rules for Ground Handling, version 2025-11-05 – DR per Reg (EU) 2025/20; AMC/GM per ED Decision 2025/007/R

**Regulation hooks:** 1265    **IR:** 287 / 296    **AMC:** 423 / 441    **GM:** 424 / 528    **Generated:** 2026-05-25T18:24:32

Each question reference is given as §< cite> Q<n> – open the corresponding section of the audit checklist and locate the question by its number within that section.

## §ORGH.DEC

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.DEC	§ORGH.DEC Q1

## §ORGH.DEC.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DEC.100(a)	§ORGH.DEC.100 Q1
<b>IR</b> IR §ORGH.DEC.100(b)#prose §1	§ORGH.DEC.100 Q2
<b>IR</b> IR §ORGH.DEC.100(b)(1)	§ORGH.DEC.100 Q3
<b>IR</b> IR §ORGH.DEC.100(b)(2)	§ORGH.DEC.100 Q4
<b>IR</b> IR §ORGH.DEC.100(b)(3)	§ORGH.DEC.100 Q5
<b>IR</b> IR §ORGH.DEC.100(b)(4)	§ORGH.DEC.100 Q6
<b>IR</b> IR §ORGH.DEC.100(b)(5)	§ORGH.DEC.100 Q7
<b>IR</b> IR §ORGH.DEC.100(b)(6)	§ORGH.DEC.100 Q8
<b>IR</b> IR §ORGH.DEC.100(c)	§ORGH.DEC.100 Q9
<b>AMC</b> AMC1 ORGH.DEC.100 §(a)	§ORGH.DEC.100 Q1
<b>AMC</b> AMC1 ORGH.DEC.100 §(b)	§ORGH.DEC.100 Q2
<b>GM</b> GM1 ORGH.DEC.100 §(a)	§ORGH.DEC.100 Q1
<b>GM</b> GM1 ORGH.DEC.100 §(b)	§ORGH.DEC.100 Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.DEC.100 §(c)	§ORGH.DEC.100 Q3

## §ORGH.DEC.105

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DEC.105#prose §1	§ORGH.DEC.105 Q1
<b>IR</b> IR §ORGH.DEC.105#prose §2	§ORGH.DEC.105 Q4
<b>IR</b> IR §ORGH.DEC.105(a)	§ORGH.DEC.105 Q2
<b>IR</b> IR §ORGH.DEC.105(b)	§ORGH.DEC.105 Q3
<b>AMC</b> AMC1 ORGH.DEC.105	§ORGH.DEC.105 Q1

## §ORGH.DOC.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DOC.100(a)	§ORGH.DOC.100 Q1
<b>IR</b> IR §ORGH.DOC.100(b)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.DOC.100(b)#prose §1	§ORGH.DOC.100 Q2
<b>IR</b> IR §ORGH.DOC.100(b)#prose §2	§ORGH.DOC.100 Q3
<b>IR</b> IR §ORGH.DOC.100(c)	§ORGH.DOC.100 Q4
<b>IR</b> IR §ORGH.DOC.100(d)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.DOC.100(d)#prose §1	§ORGH.DOC.100 Q5
<b>IR</b> IR §ORGH.DOC.100(d)#prose §2	§ORGH.DOC.100 Q6
<b>AMC</b> AMC1 ORGH.DOC.100 §(a)	§ORGH.DOC.100 Q1
<b>AMC</b> AMC1 ORGH.DOC.100 §(b)	§ORGH.DOC.100 Q2
<b>AMC</b> AMC1 ORGH.DOC.100 §(c)	§ORGH.DOC.100 Q3
<b>AMC</b> AMC1 ORGH.DOC.100 §(d)	§ORGH.DOC.100 Q4
<b>GM</b> GM1 ORGH.DOC.100	§ORGH.DOC.100 Q1

## §ORGH.DOC.105

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DOC.105(a)	§ORGH.DOC.105 Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DOC.105(b)#prose §1	§ORGH.DOC.105 Q2
<b>IR</b> IR §ORGH.DOC.105(b)(1)	§ORGH.DOC.105 Q3
<b>IR</b> IR §ORGH.DOC.105(b)(2)	§ORGH.DOC.105 Q4
<b>IR</b> IR §ORGH.DOC.105(b)(3)	§ORGH.DOC.105 Q5
<b>IR</b> IR §ORGH.DOC.105(b)(4)	§ORGH.DOC.105 Q6
<b>IR</b> IR §ORGH.DOC.105(b)(5)	§ORGH.DOC.105 Q7
<b>IR</b> IR §ORGH.DOC.105(b)(6)	§ORGH.DOC.105 Q8
<b>IR</b> IR §ORGH.DOC.105(b)(7)	§ORGH.DOC.105 Q9

## §ORGH.DOC.110

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DOC.110(a)(1)	§ORGH.DOC.110 Q1
<b>IR</b> IR §ORGH.DOC.110(a)(2)	§ORGH.DOC.110 Q2
<b>IR</b> IR §ORGH.DOC.110(a)(3)	§ORGH.DOC.110 Q3
<b>IR</b> IR §ORGH.DOC.110(a)(4)	§ORGH.DOC.110 Q4
<b>IR</b> IR §ORGH.DOC.110(a)(5)	§ORGH.DOC.110 Q5
<b>IR</b> IR §ORGH.DOC.110(a)(6)	§ORGH.DOC.110 Q6
<b>IR</b> IR §ORGH.DOC.110(a)(7)	§ORGH.DOC.110 Q7
<b>IR</b> IR §ORGH.DOC.110(a)(8)	§ORGH.DOC.110 Q8
<b>IR</b> IR §ORGH.DOC.110(a)(9)	§ORGH.DOC.110 Q9
<b>IR</b> IR §ORGH.DOC.110(b)	§ORGH.DOC.110 Q10
<b>IR</b> IR §ORGH.DOC.110(c)	§ORGH.DOC.110 Q11
<b>IR</b> IR §ORGH.DOC.110(d)	§ORGH.DOC.110 Q12
<b>IR</b> IR §ORGH.DOC.110(e)(1)	§ORGH.DOC.110 Q13
<b>IR</b> IR §ORGH.DOC.110(e)(2)	§ORGH.DOC.110 Q14
<b>IR</b> IR §ORGH.DOC.110(e)(3)	§ORGH.DOC.110 Q15
<b>IR</b> IR §ORGH.DOC.110(e)(4)	§ORGH.DOC.110 Q16
<b>IR</b> IR §ORGH.DOC.110(f)(1)	§ORGH.DOC.110 Q17

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.DOC.110(f)(2)	§ORGH.DOC.110 Q18
<b>IR</b> IR §ORGH.DOC.110(f)(3)	§ORGH.DOC.110 Q19
<b>IR</b> IR §ORGH.DOC.110(f)(4)	§ORGH.DOC.110 Q20
<b>IR</b> IR §ORGH.DOC.110(f)(5)	§ORGH.DOC.110 Q21
<b>IR</b> IR §ORGH.DOC.110(f)(6)	§ORGH.DOC.110 Q22
<b>IR</b> IR §ORGH.DOC.110(g)	§ORGH.DOC.110 Q23
<b>AMC</b> AMC1 ORGH.DOC.110 §(a)	§ORGH.DOC.110 Q1
<b>AMC</b> AMC1 ORGH.DOC.110 §(b)(1)	§ORGH.DOC.110 Q2
<b>AMC</b> AMC1 ORGH.DOC.110 §(b)(2)	§ORGH.DOC.110 Q3
<b>AMC</b> AMC1 ORGH.DOC.110 §(b)(3)	§ORGH.DOC.110 Q4
<b>AMC</b> AMC1 ORGH.DOC.110 §(b)(4)	§ORGH.DOC.110 Q5
<b>AMC</b> AMC1 ORGH.DOC.110 §(c)#prose §1	§ORGH.DOC.110 Q6
<b>AMC</b> AMC1 ORGH.DOC.110 §(c)(1)	§ORGH.DOC.110 Q7
<b>AMC</b> AMC1 ORGH.DOC.110 §(c)(2)	§ORGH.DOC.110 Q8
<b>AMC</b> AMC1 ORGH.DOC.110 §(c)(3)	§ORGH.DOC.110 Q9
<b>AMC</b> AMC1 ORGH.DOC.110 §(d)	§ORGH.DOC.110 Q10
<b>AMC</b> AMC1 ORGH.DOC.110 §(e)	§ORGH.DOC.110 Q11
<b>AMC</b> AMC1 ORGH.DOC.110 §(f)	§ORGH.DOC.110 Q12
<b>AMC</b> AMC1 ORGH.DOC.110 §(g)	§ORGH.DOC.110 Q13
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §1	§ORGH.DOC.110(a) Q1
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §2	§ORGH.DOC.110(a) Q2
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §3	§ORGH.DOC.110(a) Q3
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §4	§ORGH.DOC.110(a) Q4
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §5	§ORGH.DOC.110(a) Q5
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §6	§ORGH.DOC.110(a) Q6
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)#main §7	§ORGH.DOC.110(a) Q7
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(0)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(1)	— <i>informational only</i> —

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(2)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(3)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(4)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(5)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(a)(6)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §1	§ORGH.DOC.110(a) Q8
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §2	§ORGH.DOC.110(a) Q9
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §3	§ORGH.DOC.110(a) Q10
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §4	§ORGH.DOC.110(a) Q11
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §5	§ORGH.DOC.110(a) Q12
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §6	§ORGH.DOC.110(a) Q13
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)#sop §7	§ORGH.DOC.110(a) Q14
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(1)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(2)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(3)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(4)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(5)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(6)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(b)(7)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.DOC.110(a) §(c)	§ORGH.DOC.110(a) Q15
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(c)#prose §1	§ORGH.DOC.110(b);(c) Q3
<b>GM</b> GM1 ORGH.DOC.110(d) §(a)	§ORGH.DOC.110(d) Q1
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)	§ORGH.DOC.110(d) Q2
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(10)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(11)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(12)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(3)	— <i>informational only</i> —

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(4)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(5)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(6)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(7)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(8)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(d) §(b)(9)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.DOC.110(e) §(a)	§ORGH.DOC.110(e) Q1
<b>GM</b> GM1 ORGH.DOC.110(e) §(b)	§ORGH.DOC.110(e) Q2
<b>GM</b> GM1 ORGH.DOC.110(e) §(c)	§ORGH.DOC.110(e) Q3
<b>GM</b> GM1 ORGH.DOC.110(e) §(d)	§ORGH.DOC.110(e) Q4
<b>GM</b> GM1 ORGH.DOC.110(e) §(e)	§ORGH.DOC.110(e) Q5
<b>GM</b> GM1 ORGH.DOC.110(e) §(f)	§ORGH.DOC.110(e) Q6
<b>GM</b> GM1 ORGH.DOC.110(e) §(g)	§ORGH.DOC.110(e) Q7
<b>GM</b> GM1 ORGH.DOC.110(e) §(h)	§ORGH.DOC.110(e) Q8
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(a)	§ORGH.DOC.110(b);(c) Q1
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(b)	§ORGH.DOC.110(b);(c) Q2
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(c)(1)	§ORGH.DOC.110(b);(c) Q4
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(c)(2)	§ORGH.DOC.110(b);(c) Q5
<b>GM</b> GM1 ORGH.DOC.110(b);(c) §(c)(3)	§ORGH.DOC.110(b);(c) Q6

## §ORGH.GEN.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.100(a)	§ORGH.GEN.100 Q1
<b>IR</b> IR §ORGH.GEN.100(b)	§ORGH.GEN.100 Q2

## §ORGH.GEN.105

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.105(a)	§ORGH.GEN.105 Q1
<b>IR</b> IR §ORGH.GEN.105(b)	§ORGH.GEN.105 Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.105(c)#prose §1	§ORGH.GEN.105 Q3
<b>IR</b> IR §ORGH.GEN.105(c)(1)	§ORGH.GEN.105 Q4
<b>IR</b> IR §ORGH.GEN.105(c)(2)	§ORGH.GEN.105 Q5
<b>IR</b> IR §ORGH.GEN.105(c)(3)	§ORGH.GEN.105 Q6
<b>GM</b> GM1 ORGH.GEN.105(a)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.105(a)#prose §1	§ORGH.GEN.105(a) Q1
<b>GM</b> GM1 ORGH.GEN.105(a)#prose §2	§ORGH.GEN.105(a) Q2
<b>GM</b> GM1 ORGH.GEN.105(c) §(a)	§ORGH.GEN.105(c) Q1
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)#prose §1	§ORGH.GEN.105(c) Q2
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(1)	§ORGH.GEN.105(c) Q3
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(2)	§ORGH.GEN.105(c) Q4
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(3)	§ORGH.GEN.105(c) Q5
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(4)	§ORGH.GEN.105(c) Q6
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(5)	§ORGH.GEN.105(c) Q7
<b>GM</b> GM1 ORGH.GEN.105(c) §(b)(6)	§ORGH.GEN.105(c) Q8
<b>GM</b> GM1 ORGH.GEN.105(c) §(c)	§ORGH.GEN.105(c) Q9

## §ORGH.GEN.110

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.110(a)#prose §1	§ORGH.GEN.110 Q1
<b>IR</b> IR §ORGH.GEN.110(a)(1)	§ORGH.GEN.110 Q2
<b>IR</b> IR §ORGH.GEN.110(a)(2)	§ORGH.GEN.110 Q3
<b>IR</b> IR §ORGH.GEN.110(a)(3)	§ORGH.GEN.110 Q4
<b>IR</b> IR §ORGH.GEN.110(a)(4)	§ORGH.GEN.110 Q5
<b>IR</b> IR §ORGH.GEN.110(b)	§ORGH.GEN.110 Q6
<b>IR</b> IR §ORGH.GEN.110(c)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.GEN.110(c)#prose §1	§ORGH.GEN.110 Q7
<b>IR</b> IR §ORGH.GEN.110(c)#prose §2	§ORGH.GEN.110 Q8

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.110(d)(1)	§ORGH.GEN.110 Q9
<b>IR</b> IR §ORGH.GEN.110(d)(2)	§ORGH.GEN.110 Q10
<b>IR</b> IR §ORGH.GEN.110(e)	§ORGH.GEN.110 Q11
<b>AMC</b> AMC1 ORGH.GEN.110(a) §(a)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.GEN.110(a) §(a)#prose §1	§ORGH.GEN.110(a) Q1
<b>AMC</b> AMC1 ORGH.GEN.110(a) §(a)#prose §2	§ORGH.GEN.110(a) Q2
<b>AMC</b> AMC1 ORGH.GEN.110(a) §(b)(1)	§ORGH.GEN.110(a) Q3
<b>AMC</b> AMC1 ORGH.GEN.110(a) §(b)(2)	§ORGH.GEN.110(a) Q4

## §ORGH.GEN.115

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.115#prose §1	§ORGH.GEN.115 Q1
<b>IR</b> IR §ORGH.GEN.115(a)	§ORGH.GEN.115 Q2
<b>IR</b> IR §ORGH.GEN.115(b)	§ORGH.GEN.115 Q3
<b>GM</b> GM1 ORGH.GEN.115 §(a)	§ORGH.GEN.115 Q1
<b>GM</b> GM1 ORGH.GEN.115 §(b)	§ORGH.GEN.115 Q2
<b>GM</b> GM1 ORGH.GEN.115 §(b)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.115 §(b)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.115 §(b)(3)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.115 §(b)(4)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.115 §(b)(5)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.115 §(c)	§ORGH.GEN.115 Q3

## §ORGH.GEN.120

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.120(a)	§ORGH.GEN.120 Q1
<b>IR</b> IR §ORGH.GEN.120(b)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.GEN.120(b)#prose §1	§ORGH.GEN.120 Q2
<b>IR</b> IR §ORGH.GEN.120(b)#prose §2	§ORGH.GEN.120 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.120(c)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.GEN.120(c)#prose §1	§ORGH.GEN.120 Q4
<b>IR</b> IR §ORGH.GEN.120(c)#prose §2	§ORGH.GEN.120 Q5
<b>AMC</b> AMC1 ORGH.GEN.120 §(a)	§ORGH.GEN.120 Q1
<b>AMC</b> AMC1 ORGH.GEN.120 §(b)	§ORGH.GEN.120 Q2
<b>AMC</b> AMC2 ORGH.GEN.120 §(a)	§ORGH.GEN.120 Q1
<b>AMC</b> AMC2 ORGH.GEN.120 §(b)	§ORGH.GEN.120 Q2
<b>AMC</b> AMC2 ORGH.GEN.120 §(c)	§ORGH.GEN.120 Q3
<b>GM</b> GM1 ORGH.GEN.120 §(a)	§ORGH.GEN.120 Q1
<b>GM</b> GM1 ORGH.GEN.120 §(b)	§ORGH.GEN.120 Q2

## §ORGH.GEN.125

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.125	§ORGH.GEN.125 Q1
<b>AMC</b> AMC1 ORGH.GEN.125 §(a)	§ORGH.GEN.125 Q1
<b>AMC</b> AMC1 ORGH.GEN.125 §(b)#prose §1	§ORGH.GEN.125 Q2
<b>AMC</b> AMC1 ORGH.GEN.125 §(b)(1)	§ORGH.GEN.125 Q3
<b>AMC</b> AMC1 ORGH.GEN.125 §(b)(2)	§ORGH.GEN.125 Q4
<b>AMC</b> AMC1 ORGH.GEN.125 §(b)(3)	§ORGH.GEN.125 Q5
<b>AMC</b> AMC1 ORGH.GEN.125 §(b)(4)	§ORGH.GEN.125 Q6
<b>AMC</b> AMC1 ORGH.GEN.125 §(c)	§ORGH.GEN.125 Q7
<b>AMC</b> AMC1 ORGH.GEN.125 §(d)	§ORGH.GEN.125 Q8
<b>AMC</b> AMC1 ORGH.GEN.125 §(e)	§ORGH.GEN.125 Q9
<b>AMC</b> AMC1 ORGH.GEN.125 §(f)	§ORGH.GEN.125 Q10
<b>AMC</b> AMC1 ORGH.GEN.125 §(g)	§ORGH.GEN.125 Q11
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)#prose §1	§ORGH.GEN.125 Q1
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(1)	§ORGH.GEN.125 Q2
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(2)	§ORGH.GEN.125 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(3)	§ORGH.GEN.125 Q4
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(4)	§ORGH.GEN.125 Q5
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(5)	§ORGH.GEN.125 Q6
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(6)	§ORGH.GEN.125 Q7
<b>AMC</b> AMC2 ORGH.GEN.125 §(a)(7)	§ORGH.GEN.125 Q8
<b>AMC</b> AMC2 ORGH.GEN.125 §(b)	§ORGH.GEN.125 Q9
<b>GM</b> GM1 ORGH.GEN.125 §(a)	§ORGH.GEN.125 Q1
<b>GM</b> GM1 ORGH.GEN.125 §(b)	§ORGH.GEN.125 Q2
<b>GM</b> GM1 ORGH.GEN.125 §(b)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(10)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(11)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(3)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(4)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(5)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(6)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(7)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(8)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.125 §(b)(9)	— <i>informational only</i> —

## §ORGH.GEN.130

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.130(a)#prose §1	§ORGH.GEN.130 Q1
<b>IR</b> IR §ORGH.GEN.130(a)(1)	§ORGH.GEN.130 Q2
<b>IR</b> IR §ORGH.GEN.130(a)(2)	§ORGH.GEN.130 Q3
<b>IR</b> IR §ORGH.GEN.130(a)(3)	§ORGH.GEN.130 Q4
<b>IR</b> IR §ORGH.GEN.130(a)(4)	§ORGH.GEN.130 Q5
<b>IR</b> IR §ORGH.GEN.130(b)	§ORGH.GEN.130 Q6
<b>IR</b> IR §ORGH.GEN.130(c)	§ORGH.GEN.130 Q7

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.130(d)	§ORGH.GEN.130 Q8
<b>IR</b> IR §ORGH.GEN.130(e)	§ORGH.GEN.130 Q9
<b>GM</b> GM1 ORGH.GEN.130 §(a)	§ORGH.GEN.130 Q1
<b>GM</b> GM1 ORGH.GEN.130 §(b)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.130 §(b)(1)	§ORGH.GEN.130 Q2
<b>GM</b> GM1 ORGH.GEN.130 §(b)(10)	§ORGH.GEN.130 Q11
<b>GM</b> GM1 ORGH.GEN.130 §(b)(11)	§ORGH.GEN.130 Q12
<b>GM</b> GM1 ORGH.GEN.130 §(b)(12)	§ORGH.GEN.130 Q13
<b>GM</b> GM1 ORGH.GEN.130 §(b)(2)	§ORGH.GEN.130 Q3
<b>GM</b> GM1 ORGH.GEN.130 §(b)(3)	§ORGH.GEN.130 Q4
<b>GM</b> GM1 ORGH.GEN.130 §(b)(4)	§ORGH.GEN.130 Q5
<b>GM</b> GM1 ORGH.GEN.130 §(b)(5)	§ORGH.GEN.130 Q6
<b>GM</b> GM1 ORGH.GEN.130 §(b)(6)	§ORGH.GEN.130 Q7
<b>GM</b> GM1 ORGH.GEN.130 §(b)(7)	§ORGH.GEN.130 Q8
<b>GM</b> GM1 ORGH.GEN.130 §(b)(8)	§ORGH.GEN.130 Q9
<b>GM</b> GM1 ORGH.GEN.130 §(b)(9)	§ORGH.GEN.130 Q10
<b>GM</b> GM1 ORGH.GEN.130 §(c)#prose §1	§ORGH.GEN.130 Q14
<b>GM</b> GM1 ORGH.GEN.130 §(c)(1)	§ORGH.GEN.130 Q15
<b>GM</b> GM1 ORGH.GEN.130 §(c)(2)	§ORGH.GEN.130 Q16
<b>GM</b> GM1 ORGH.GEN.130 §(c)(3)	§ORGH.GEN.130 Q17
<b>GM</b> GM1 ORGH.GEN.130 §(c)(4)	§ORGH.GEN.130 Q18
<b>GM</b> GM1 ORGH.GEN.130 §(d)	§ORGH.GEN.130 Q19
<b>GM</b> GM1 ORGH.GEN.130 §(e)	§ORGH.GEN.130 Q20
<b>GM</b> GM1 ORGH.GEN.130 §(f)	§ORGH.GEN.130 Q21
<b>GM</b> GM1 ORGH.GEN.130(b) §(a)	§ORGH.GEN.130(b) Q1
<b>GM</b> GM1 ORGH.GEN.130(b) §(b)	§ORGH.GEN.130(b) Q2
<b>GM</b> GM1 ORGH.GEN.130(b) §(c)	§ORGH.GEN.130(b) Q3

## §ORGH.GEN.140

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.140(a)	§ORGH.GEN.140 Q1
<b>IR</b> IR §ORGH.GEN.140(b)	§ORGH.GEN.140 Q2

## §ORGH.GEN.145

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.145#prose §1	§ORGH.GEN.145 Q1
<b>IR</b> IR §ORGH.GEN.145(a)	§ORGH.GEN.145 Q2
<b>IR</b> IR §ORGH.GEN.145(b)	§ORGH.GEN.145 Q3
<b>IR</b> IR §ORGH.GEN.145(c)	§ORGH.GEN.145 Q4
<b>GM</b> GM1 ORGH.GEN.145	§ORGH.GEN.145 Q1

## §ORGH.GEN.150

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.150(a)#prose §1	§ORGH.GEN.150 Q1
<b>IR</b> IR §ORGH.GEN.150(a)(1)	§ORGH.GEN.150 Q2
<b>IR</b> IR §ORGH.GEN.150(a)(2)	§ORGH.GEN.150 Q3
<b>IR</b> IR §ORGH.GEN.150(a)(3)	§ORGH.GEN.150 Q4
<b>IR</b> IR §ORGH.GEN.150(b)	§ORGH.GEN.150 Q5
<b>IR</b> IR §ORGH.GEN.150(c)	§ORGH.GEN.150 Q6
<b>AMC</b> AMC1 ORGH.GEN.150 §(a)	§ORGH.GEN.150 Q1
<b>AMC</b> AMC1 ORGH.GEN.150 §(b)	§ORGH.GEN.150 Q2
<b>AMC</b> AMC1 ORGH.GEN.150 §(c)	§ORGH.GEN.150 Q3
<b>GM</b> GM1 ORGH.GEN.150(a)(1) §(a)	§ORGH.GEN.150(a)(1) Q1
<b>GM</b> GM1 ORGH.GEN.150(a)(1) §(b)	§ORGH.GEN.150(a)(1) Q2
<b>GM</b> GM1 ORGH.GEN.150(a)(1) §(c)	§ORGH.GEN.150(a)(1) Q3

## §ORGH.GEN.155

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.155(a)	§ORGH.GEN.155 Q1
<b>IR</b> IR §ORGH.GEN.155(b)	§ORGH.GEN.155 Q2

## §ORGH.GEN.160

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.160(a)	§ORGH.GEN.160 Q1
<b>IR</b> IR §ORGH.GEN.160(b)(1)	§ORGH.GEN.160 Q2
<b>IR</b> IR §ORGH.GEN.160(b)(2)	§ORGH.GEN.160 Q3
<b>IR</b> IR §ORGH.GEN.160(c)	§ORGH.GEN.160 Q4
<b>IR</b> IR §ORGH.GEN.160(d)(1)	§ORGH.GEN.160 Q5
<b>IR</b> IR §ORGH.GEN.160(d)(2)	§ORGH.GEN.160 Q6
<b>IR</b> IR §ORGH.GEN.160(e)#prose §1	§ORGH.GEN.160 Q7
<b>IR</b> IR §ORGH.GEN.160(e)(1)	§ORGH.GEN.160 Q8
<b>IR</b> IR §ORGH.GEN.160(e)(2)	§ORGH.GEN.160 Q9
<b>AMC</b> AMC1 ORGH.GEN.160(e) §(a)	§ORGH.GEN.160(e) Q2
<b>AMC</b> AMC1 ORGH.GEN.160(e) §(b)	§ORGH.GEN.160(e) Q3
<b>AMC</b> AMC1 ORGH.GEN.160(e) §(c)	§ORGH.GEN.160(e) Q4
<b>AMC</b> AMC1 ORGH.GEN.160(e) §(d)	§ORGH.GEN.160(e) Q5
<b>AMC</b> AMC1 ORGH.GEN.160(e) §(e)	§ORGH.GEN.160(e) Q6
<b>AMC</b> AMC1 ORGH.GEN.160(e)#prose §1	§ORGH.GEN.160(e) Q1
<b>GM</b> GM1 ORGH.GEN.160(b)(1)	§ORGH.GEN.160(b)(1) Q1
<b>GM</b> GM1 ORGH.GEN.160(b)(2) §(a)	§ORGH.GEN.160(b)(2) Q1
<b>GM</b> GM1 ORGH.GEN.160(b)(2) §(b)	§ORGH.GEN.160(b)(2) Q2
<b>GM</b> GM1 ORGH.GEN.160(b)(2) §(c)(1)	§ORGH.GEN.160(b)(2) Q3
<b>GM</b> GM1 ORGH.GEN.160(b)(2) §(c)(2)	§ORGH.GEN.160(b)(2) Q4
<b>GM</b> GM1 ORGH.GEN.160(b)(2) §(c)(3)	§ORGH.GEN.160(b)(2) Q5
<b>GM</b> GM1 ORGH.GEN.160(b);(e) §(a)	§ORGH.GEN.160(b);(e) Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.GEN.160(b);(e) §(b)	§ORGH.GEN.160(b);(e) Q2
<b>GM</b> GM1 ORGH.GEN.160(b);(e) §(c)	§ORGH.GEN.160(b);(e) Q3
<b>GM</b> GM1 ORGH.GEN.160(b);(e) §(d)	§ORGH.GEN.160(b);(e) Q4
<b>GM</b> GM1 ORGH.GEN.160(b);(e) §(e)	§ORGH.GEN.160(b);(e) Q5

## §ORGH.GEN.165

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.165(a)#prose §1	§ORGH.GEN.165 Q1
<b>IR</b> IR §ORGH.GEN.165(a)(1)	§ORGH.GEN.165 Q2
<b>IR</b> IR §ORGH.GEN.165(a)(2)	§ORGH.GEN.165 Q3
<b>IR</b> IR §ORGH.GEN.165(a)(3)	§ORGH.GEN.165 Q4
<b>IR</b> IR §ORGH.GEN.165(a)(4)	§ORGH.GEN.165 Q5
<b>IR</b> IR §ORGH.GEN.165(a)(5)	§ORGH.GEN.165 Q6
<b>IR</b> IR §ORGH.GEN.165(a)(6)	§ORGH.GEN.165 Q7
<b>IR</b> IR §ORGH.GEN.165(b)(1)	§ORGH.GEN.165 Q8
<b>IR</b> IR §ORGH.GEN.165(b)(2)	§ORGH.GEN.165 Q9
<b>IR</b> IR §ORGH.GEN.165(b)(3)	§ORGH.GEN.165 Q10
<b>IR</b> IR §ORGH.GEN.165(b)(4)	§ORGH.GEN.165 Q11
<b>IR</b> IR §ORGH.GEN.165(b)(5)	§ORGH.GEN.165 Q12
<b>IR</b> IR §ORGH.GEN.165(b)(6)	§ORGH.GEN.165 Q13
<b>IR</b> IR §ORGH.GEN.165(b)(7)	§ORGH.GEN.165 Q14
<b>IR</b> IR §ORGH.GEN.165(b)(8)	§ORGH.GEN.165 Q15
<b>IR</b> IR §ORGH.GEN.165(b)(9)	§ORGH.GEN.165 Q16
<b>IR</b> IR §ORGH.GEN.165(c)	§ORGH.GEN.165 Q17
<b>IR</b> IR §ORGH.GEN.165(d)	§ORGH.GEN.165 Q18
<b>AMC</b> AMC1 ORGH.GEN.165 §(a)	§ORGH.GEN.165 Q1
<b>AMC</b> AMC1 ORGH.GEN.165 §(b)	§ORGH.GEN.165 Q2
<b>AMC</b> AMC1 ORGH.GEN.165 §(c)(1)	§ORGH.GEN.165 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.GEN.165 §(c)(2)	§ORGH.GEN.165 Q4
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)#prose §1	§ORGH.GEN.165 Q5
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(1)	§ORGH.GEN.165 Q6
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(2)	§ORGH.GEN.165 Q7
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(3)	§ORGH.GEN.165 Q8
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(4)	§ORGH.GEN.165 Q9
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(5)	§ORGH.GEN.165 Q10
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(6)	§ORGH.GEN.165 Q11
<b>AMC</b> AMC1 ORGH.GEN.165 §(d)(7)	§ORGH.GEN.165 Q12
<b>AMC</b> AMC1 ORGH.GEN.165 §(e)	§ORGH.GEN.165 Q13
<b>GM</b> GM1 ORGH.GEN.165 §(a)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.165 §(a)(1)	§ORGH.GEN.165 Q3
<b>GM</b> GM1 ORGH.GEN.165 §(a)(2)	§ORGH.GEN.165 Q4
<b>GM</b> GM1 ORGH.GEN.165 §(b)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.165 §(b)(1)	§ORGH.GEN.165 Q5
<b>GM</b> GM1 ORGH.GEN.165 §(b)(2)	§ORGH.GEN.165 Q6
<b>GM</b> GM1 ORGH.GEN.165 §(b)(3)	§ORGH.GEN.165 Q7
<b>GM</b> GM1 ORGH.GEN.165 §(c)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.165 §(c)(1)	§ORGH.GEN.165 Q8
<b>GM</b> GM1 ORGH.GEN.165 §(c)(2)	§ORGH.GEN.165 Q9
<b>GM</b> GM1 ORGH.GEN.165#prose §1	§ORGH.GEN.165 Q1
<b>GM</b> GM1 ORGH.GEN.165#prose §2	§ORGH.GEN.165 Q2
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(a)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(a)(1)	§ORGH.GEN.165(a)(4) Q1
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(a)(2)	§ORGH.GEN.165(a)(4) Q2
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(a)(3)	§ORGH.GEN.165(a)(4) Q3
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(a)(4)	§ORGH.GEN.165(a)(4) Q4
<b>GM</b> GM1 ORGH.GEN.165(a)(4) §(b)	§ORGH.GEN.165(a)(4) Q5

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.GEN.165(b)(4) §(a)	§ORGH.GEN.165(b)(4) Q1
<b>GM</b> GM1 ORGH.GEN.165(b)(4) §(b)	§ORGH.GEN.165(b)(4) Q2

## §ORGH.GEN.170

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GEN.170(a)#prose §1	§ORGH.GEN.170 Q1
<b>IR</b> IR §ORGH.GEN.170(a)(1)	§ORGH.GEN.170 Q2
<b>IR</b> IR §ORGH.GEN.170(a)(2)	§ORGH.GEN.170 Q3
<b>IR</b> IR §ORGH.GEN.170(b)	§ORGH.GEN.170 Q4
<b>GM</b> GM1 ORGH.GEN.170 §(a)	§ORGH.GEN.170 Q1
<b>GM</b> GM1 ORGH.GEN.170 §(b)	§ORGH.GEN.170 Q2

## §ORGH.GSE.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GSE.100(a)#prose §1	§ORGH.GSE.100 Q1
<b>IR</b> IR §ORGH.GSE.100(a)(1)	§ORGH.GSE.100 Q2
<b>IR</b> IR §ORGH.GSE.100(a)(2)	§ORGH.GSE.100 Q3
<b>IR</b> IR §ORGH.GSE.100(a)(3)	§ORGH.GSE.100 Q4
<b>IR</b> IR §ORGH.GSE.100(a)(4)	§ORGH.GSE.100 Q5
<b>IR</b> IR §ORGH.GSE.100(a)(5)	§ORGH.GSE.100 Q6
<b>IR</b> IR §ORGH.GSE.100(a)(6)	§ORGH.GSE.100 Q7
<b>IR</b> IR §ORGH.GSE.100(b)#prose §1	§ORGH.GSE.100 Q8
<b>IR</b> IR §ORGH.GSE.100(b)(1)	§ORGH.GSE.100 Q9
<b>IR</b> IR §ORGH.GSE.100(b)(2)	§ORGH.GSE.100 Q10
<b>IR</b> IR §ORGH.GSE.100(b)(3)	§ORGH.GSE.100 Q11
<b>IR</b> IR §ORGH.GSE.100(b)(4)	§ORGH.GSE.100 Q12
<b>IR</b> IR §ORGH.GSE.100(b)(5)#prose §1	§ORGH.GSE.100 Q13
<b>IR</b> IR §ORGH.GSE.100(b)(5)(i)	§ORGH.GSE.100 Q14

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GSE.100(b)(5)(ii)	§ORGH.GSE.100 Q15
<b>IR</b> IR §ORGH.GSE.100(b)(6)	§ORGH.GSE.100 Q16
<b>IR</b> IR §ORGH.GSE.100(c)#prose §1	§ORGH.GSE.100 Q17
<b>IR</b> IR §ORGH.GSE.100(c)(1)	§ORGH.GSE.100 Q18
<b>IR</b> IR §ORGH.GSE.100(c)(2)	§ORGH.GSE.100 Q19
<b>IR</b> IR §ORGH.GSE.100(c)(3)	§ORGH.GSE.100 Q20
<b>GM</b> GM1 ORGH.GSE.100(c) §(a)	§ORGH.GSE.100(c) Q1
<b>GM</b> GM1 ORGH.GSE.100(c) §(b)	§ORGH.GSE.100(c) Q2
<b>GM</b> GM1 ORGH.GSE.100(c) §(c)	§ORGH.GSE.100(c) Q3
<b>GM</b> GM1 ORGH.GSE.100(c) §(d)	§ORGH.GSE.100(c) Q4

## §ORGH.GSE.105

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GSE.105(a)#prose §1	§ORGH.GSE.105 Q1
<b>IR</b> IR §ORGH.GSE.105(a)(1)	§ORGH.GSE.105 Q2
<b>IR</b> IR §ORGH.GSE.105(a)(2)	§ORGH.GSE.105 Q3
<b>IR</b> IR §ORGH.GSE.105(a)(3)	§ORGH.GSE.105 Q4
<b>IR</b> IR §ORGH.GSE.105(a)(4)	§ORGH.GSE.105 Q5
<b>IR</b> IR §ORGH.GSE.105(a)(5)	§ORGH.GSE.105 Q6
<b>IR</b> IR §ORGH.GSE.105(a)(6)	§ORGH.GSE.105 Q7
<b>IR</b> IR §ORGH.GSE.105(a)(7)	§ORGH.GSE.105 Q8
<b>IR</b> IR §ORGH.GSE.105(b)#prose §1	§ORGH.GSE.105 Q9
<b>IR</b> IR §ORGH.GSE.105(b)(1)	§ORGH.GSE.105 Q10
<b>IR</b> IR §ORGH.GSE.105(b)(2)	§ORGH.GSE.105 Q11
<b>IR</b> IR §ORGH.GSE.105(c)	§ORGH.GSE.105 Q12
<b>IR</b> IR §ORGH.GSE.105(d)	§ORGH.GSE.105 Q13
<b>IR</b> IR §ORGH.GSE.105(e)	§ORGH.GSE.105 Q14
<b>AMC</b> AMC1 ORGH.GSE.105 §(a)	§ORGH.GSE.105 Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.GSE.105 §(b)	§ORGH.GSE.105 Q2
<b>AMC</b> AMC1 ORGH.GSE.105 §(c)	§ORGH.GSE.105 Q3
<b>GM</b> GM1 ORGH.GSE.105 §(a)	§ORGH.GSE.105 Q1
<b>GM</b> GM1 ORGH.GSE.105 §(b)	§ORGH.GSE.105 Q2
<b>GM</b> GM1 ORGH.GSE.105 §(c)	§ORGH.GSE.105 Q3
<b>GM</b> GM2 ORGH.GSE.105 §(a)	§ORGH.GSE.105 Q1
<b>GM</b> GM2 ORGH.GSE.105 §(b)	§ORGH.GSE.105 Q2

## §ORGH.GSE.110

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.GSE.110(a)	§ORGH.GSE.110 Q1
<b>IR</b> IR §ORGH.GSE.110(b)	§ORGH.GSE.110 Q2
<b>IR</b> IR §ORGH.GSE.110(c)	§ORGH.GSE.110 Q3

## §ORGH.MGM.200

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.200(a)	— <i>informational only</i> —
<b>IR</b> IR §ORGH.MGM.200(a)#prose §1	§ORGH.MGM.200 Q1
<b>IR</b> IR §ORGH.MGM.200(a)#prose §2	§ORGH.MGM.200 Q2
<b>IR</b> IR §ORGH.MGM.200(a)#prose §3	§ORGH.MGM.200 Q3
<b>IR</b> IR §ORGH.MGM.200(b)(1)	§ORGH.MGM.200 Q4
<b>IR</b> IR §ORGH.MGM.200(b)(2)#prose §1	§ORGH.MGM.200 Q5
<b>IR</b> IR §ORGH.MGM.200(b)(2)(i)	§ORGH.MGM.200 Q6
<b>IR</b> IR §ORGH.MGM.200(b)(2)(ii)	§ORGH.MGM.200 Q7
<b>IR</b> IR §ORGH.MGM.200(b)(2)(iii)	§ORGH.MGM.200 Q8
<b>IR</b> IR §ORGH.MGM.200(b)(2)(iv)	§ORGH.MGM.200 Q9
<b>IR</b> IR §ORGH.MGM.200(b)(2)(v)	§ORGH.MGM.200 Q10
<b>IR</b> IR §ORGH.MGM.200(b)(3)	§ORGH.MGM.200 Q11

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.200(b)(4)	§ORGH.MGM.200 Q12
<b>IR</b> IR §ORGH.MGM.200(b)(5)	§ORGH.MGM.200 Q13
<b>IR</b> IR §ORGH.MGM.200(b)(6)	§ORGH.MGM.200 Q14
<b>IR</b> IR §ORGH.MGM.200(b)(7)	§ORGH.MGM.200 Q15
<b>IR</b> IR §ORGH.MGM.200(c)	§ORGH.MGM.200 Q16
<b>IR</b> IR §ORGH.MGM.200(d)	§ORGH.MGM.200 Q17
<b>AMC</b> AMC1 ORGH.MGM.200(a) §(a)	§ORGH.MGM.200(a) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(a) §(b)	§ORGH.MGM.200(a) Q2
<b>AMC</b> AMC1 ORGH.MGM.200(a) §(c)	§ORGH.MGM.200(a) Q3
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)#prose §1	§ORGH.MGM.200(b)(2) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(1)(i)	§ORGH.MGM.200(b)(2) Q2
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(1)(ii)	§ORGH.MGM.200(b)(2) Q3
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(1)(iii)	§ORGH.MGM.200(b)(2) Q4
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(1)(iv)	§ORGH.MGM.200(b)(2) Q5
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(2)(i)	§ORGH.MGM.200(b)(2) Q6
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(2)(ii)	§ORGH.MGM.200(b)(2) Q7
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(3)(i)	§ORGH.MGM.200(b)(2) Q8
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(3)(ii)	§ORGH.MGM.200(b)(2) Q9
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(3)(iii)	§ORGH.MGM.200(b)(2) Q10
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(4)(i)	§ORGH.MGM.200(b)(2) Q11
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(a)(4)(ii)	§ORGH.MGM.200(b)(2) Q12
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(b)	§ORGH.MGM.200(b)(2) Q13
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(c)	§ORGH.MGM.200(b)(2) Q14
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2) §(d)	§ORGH.MGM.200(b)(2) Q15
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2)(i) §(a)#prose §1	§ORGH.MGM.200(b)(2)(i) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2)(i) §(a)(1)	§ORGH.MGM.200(b)(2)(i) Q2
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2)(i) §(a)(2)	§ORGH.MGM.200(b)(2)(i) Q3
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2)(i) §(a)(3)	§ORGH.MGM.200(b)(2)(i) Q4

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(a)(4)	§ORGH.MGM.200(b)(2)(i) Q5
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(a)(5)	§ORGH.MGM.200(b)(2)(i) Q6
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(a)(6)	§ORGH.MGM.200(b)(2)(i) Q7
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(a)(7)	§ORGH.MGM.200(b)(2)(i) Q8
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(a)(8)	§ORGH.MGM.200(b)(2)(i) Q9
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(b)(1)	§ORGH.MGM.200(b)(2)(i) Q10
AMC AMC1 ORGH.MGM.200(b)(2)(i) §(b)(2)	§ORGH.MGM.200(b)(2)(i) Q11
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(a)(1)	§ORGH.MGM.200(b)(2)(ii) Q1
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(a)(2)(i)	§ORGH.MGM.200(b)(2)(ii) Q2
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(a)(2)(ii)	§ORGH.MGM.200(b)(2)(ii) Q3
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(b)(1)(i)	§ORGH.MGM.200(b)(2)(ii) Q4
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(b)(1)(ii)	§ORGH.MGM.200(b)(2)(ii) Q5
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(b)(1)(iii)	§ORGH.MGM.200(b)(2)(ii) Q6
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(b)(2)	§ORGH.MGM.200(b)(2)(ii) Q7
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(b)(3)	§ORGH.MGM.200(b)(2)(ii) Q8
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(c)	§ORGH.MGM.200(b)(2)(ii) Q9
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(d)	§ORGH.MGM.200(b)(2)(ii) Q10
AMC AMC1 ORGH.MGM.200(b)(2)(ii) §(e)	§ORGH.MGM.200(b)(2)(ii) Q11
AMC AMC1 ORGH.MGM.200(b)(2)(iii) §(a)	§ORGH.MGM.200(b)(2)(iii) Q1
AMC AMC1 ORGH.MGM.200(b)(2)(iii) §(b)	§ORGH.MGM.200(b)(2)(iii) Q2
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(a)(1)	§ORGH.MGM.200(b)(2)(iv) Q2
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(a)(2)	§ORGH.MGM.200(b)(2)(iv) Q3
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(b)(1)(i)	§ORGH.MGM.200(b)(2)(iv) Q4
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(b)(1)(ii)	§ORGH.MGM.200(b)(2)(iv) Q5
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(b)(1)(iii)	§ORGH.MGM.200(b)(2)(iv) Q6
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(b)(1)(iv)	§ORGH.MGM.200(b)(2)(iv) Q7
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(b)(2)	§ORGH.MGM.200(b)(2)(iv) Q8
AMC AMC1 ORGH.MGM.200(b)(2)(iv) §(c)	§ORGH.MGM.200(b)(2)(iv) Q9

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.MGM.200(b)(2)(iv)#prose §1	§ORGH.MGM.200(b)(2)(iv) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(1)	§ORGH.MGM.200(b)(6) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(2)	§ORGH.MGM.200(b)(6) Q2
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(3)	§ORGH.MGM.200(b)(6) Q3
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)#prose §1	§ORGH.MGM.200(b)(6) Q4
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(i)	§ORGH.MGM.200(b)(6) Q5
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(ii)	§ORGH.MGM.200(b)(6) Q6
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(iii)	§ORGH.MGM.200(b)(6) Q7
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(iv)	§ORGH.MGM.200(b)(6) Q8
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(v)	§ORGH.MGM.200(b)(6) Q9
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(vi)	§ORGH.MGM.200(b)(6) Q10
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(vii)	§ORGH.MGM.200(b)(6) Q11
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(a)(4)(viii)	§ORGH.MGM.200(b)(6) Q12
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(b)(1)	§ORGH.MGM.200(b)(6) Q13
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(b)(2)	§ORGH.MGM.200(b)(6) Q14
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(b)(3)	§ORGH.MGM.200(b)(6) Q15
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(1)	§ORGH.MGM.200(b)(6) Q16
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(i)	§ORGH.MGM.200(b)(6) Q17
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(ii)	§ORGH.MGM.200(b)(6) Q18
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(iii)	§ORGH.MGM.200(b)(6) Q19
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(iv)	§ORGH.MGM.200(b)(6) Q20
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(v)	§ORGH.MGM.200(b)(6) Q21
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)	— <i>informational only</i> —
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(A)	§ORGH.MGM.200(b)(6) Q22
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(B)	§ORGH.MGM.200(b)(6) Q23
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(C)	§ORGH.MGM.200(b)(6) Q24
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(D)	§ORGH.MGM.200(b)(6) Q25

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(E)	§ORGH.MGM.200(b)(6) Q26
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vi)(F)	§ORGH.MGM.200(b)(6) Q27
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(vii)	§ORGH.MGM.200(b)(6) Q28
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(c)(2)(viii)	§ORGH.MGM.200(b)(6) Q29
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(d)(1)	§ORGH.MGM.200(b)(6) Q30
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(d)(2)	§ORGH.MGM.200(b)(6) Q31
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(d)(3)	§ORGH.MGM.200(b)(6) Q32
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(e)(1)	§ORGH.MGM.200(b)(6) Q33
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(e)(2)	§ORGH.MGM.200(b)(6) Q34
<b>AMC</b> AMC1 ORGH.MGM.200(b)(6) §(e)(3)	§ORGH.MGM.200(b)(6) Q35
<b>AMC</b> AMC1 ORGH.MGM.200(d) §(a)	§ORGH.MGM.200(d) Q1
<b>AMC</b> AMC1 ORGH.MGM.200(d) §(b)	§ORGH.MGM.200(d) Q2
<b>AMC</b> AMC1 ORGH.MGM.200(d) §(c)	§ORGH.MGM.200(d) Q3
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2) §(a)#prose §1	§ORGH.MGM.200(b)(2) Q1
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2) §(a)(1)	§ORGH.MGM.200(b)(2) Q2
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2) §(a)(2)	§ORGH.MGM.200(b)(2) Q3
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2) §(b)	§ORGH.MGM.200(b)(2) Q4
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2) §(c)	§ORGH.MGM.200(b)(2) Q5
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(a)	§ORGH.MGM.200(b)(2)(ii) Q1
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(b)	§ORGH.MGM.200(b)(2)(ii) Q2
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(c)(1)	§ORGH.MGM.200(b)(2)(ii) Q3
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(c)(2)	§ORGH.MGM.200(b)(2)(ii) Q4
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(c)(3)	§ORGH.MGM.200(b)(2)(ii) Q5
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(d)	§ORGH.MGM.200(b)(2)(ii) Q6
<b>AMC</b> AMC2 ORGH.MGM.200(b)(2)(ii) §(e)	§ORGH.MGM.200(b)(2)(ii) Q7
<b>AMC</b> AMC3 ORGH.MGM.200(b)(2) §(a)	§ORGH.MGM.200(b)(2) Q1
<b>AMC</b> AMC3 ORGH.MGM.200(b)(2) §(b)	§ORGH.MGM.200(b)(2) Q2
<b>AMC</b> AMC3 ORGH.MGM.200(b)(2) §(c)	§ORGH.MGM.200(b)(2) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC3 ORGH.MGM.200(b)(2) §(d)	§ORGH.MGM.200(b)(2) Q4
<b>GM</b> GM1 ORGH.MGM.200(a) §(a)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(a)(1)	§ORGH.MGM.200(a) Q1
<b>GM</b> GM1 ORGH.MGM.200(a) §(a)(2)	§ORGH.MGM.200(a) Q2
<b>GM</b> GM1 ORGH.MGM.200(a) §(b)	§ORGH.MGM.200(a) Q3
<b>GM</b> GM1 ORGH.MGM.200(a) §(c)	§ORGH.MGM.200(a) Q4
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)#prose §1	§ORGH.MGM.200(a) Q5
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(1)(i)	§ORGH.MGM.200(a) Q6
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(1)(ii)	§ORGH.MGM.200(a) Q7
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(1)(iii)	§ORGH.MGM.200(a) Q8
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(i)	§ORGH.MGM.200(a) Q9
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(ii)	§ORGH.MGM.200(a) Q10
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(iii)	§ORGH.MGM.200(a) Q11
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(iv)	§ORGH.MGM.200(a) Q12
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(ix)	§ORGH.MGM.200(a) Q17
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(v)	§ORGH.MGM.200(a) Q13
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(vi)	§ORGH.MGM.200(a) Q14
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(vii)	§ORGH.MGM.200(a) Q15
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(2)(viii)	§ORGH.MGM.200(a) Q16
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(3)(i)	§ORGH.MGM.200(a) Q18
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(3)(ii)	§ORGH.MGM.200(a) Q19
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(3)(iii)	§ORGH.MGM.200(a) Q20
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(3)(iv)	§ORGH.MGM.200(a) Q21
<b>GM</b> GM1 ORGH.MGM.200(a) §(d)(3)(v)	§ORGH.MGM.200(a) Q22
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)#prose §1	§ORGH.MGM.200(a) Q23
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(1)	§ORGH.MGM.200(a) Q24

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(2)	§ORGH.MGM.200(a) Q25
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(3)	§ORGH.MGM.200(a) Q26
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(4)	§ORGH.MGM.200(a) Q27
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(5)	§ORGH.MGM.200(a) Q28
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(6)	§ORGH.MGM.200(a) Q29
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(7)	§ORGH.MGM.200(a) Q30
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(8)	§ORGH.MGM.200(a) Q31
<b>GM</b> GM1 ORGH.MGM.200(a) §(e)(9)	§ORGH.MGM.200(a) Q32
<b>GM</b> GM1 ORGH.MGM.200(a) §(f)	§ORGH.MGM.200(a) Q33
<b>GM</b> GM1 ORGH.MGM.200(a) §(g)	§ORGH.MGM.200(a) Q34
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)#prose §1	§ORGH.MGM.200(a) Q35
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)(3)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(h)(4)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.MGM.200(a) §(i)	§ORGH.MGM.200(a) Q36
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(a)	§ORGH.MGM.200(b)(1) Q1
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(b)	§ORGH.MGM.200(b)(1) Q2
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(c)	§ORGH.MGM.200(b)(1) Q3
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(d)	§ORGH.MGM.200(b)(1) Q4
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(e)	§ORGH.MGM.200(b)(1) Q5
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(f)(1)	§ORGH.MGM.200(b)(1) Q6
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(f)(2)	§ORGH.MGM.200(b)(1) Q7
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(f)(3)	§ORGH.MGM.200(b)(1) Q8
<b>GM</b> GM1 ORGH.MGM.200(b)(1) §(f)(4)	§ORGH.MGM.200(b)(1) Q9
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)#prose §1	§ORGH.MGM.200(b)(2) Q1
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)(1)	§ORGH.MGM.200(b)(2) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)(2)	§ORGH.MGM.200(b)(2) Q3
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)(3)	§ORGH.MGM.200(b)(2) Q4
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)(4)	§ORGH.MGM.200(b)(2) Q5
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(a)(5)	§ORGH.MGM.200(b)(2) Q6
<b>GM</b> GM1 ORGH.MGM.200(b)(2) §(b)	§ORGH.MGM.200(b)(2) Q7
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)#prose §1	§ORGH.MGM.200(b)(2)(ii) Q1
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(1)	§ORGH.MGM.200(b)(2)(ii) Q2
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(2)	§ORGH.MGM.200(b)(2)(ii) Q3
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(3)	§ORGH.MGM.200(b)(2)(ii) Q4
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(4)	§ORGH.MGM.200(b)(2)(ii) Q5
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(5)	§ORGH.MGM.200(b)(2)(ii) Q6
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(6)	§ORGH.MGM.200(b)(2)(ii) Q7
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(a)(7)	§ORGH.MGM.200(b)(2)(ii) Q8
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(ii) §(b)	§ORGH.MGM.200(b)(2)(ii) Q9
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(a)	§ORGH.MGM.200(b)(2)(iii) Q1
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(b)	§ORGH.MGM.200(b)(2)(iii) Q2
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(c)	§ORGH.MGM.200(b)(2)(iii) Q3
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(d)	§ORGH.MGM.200(b)(2)(iii) Q4
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(e)	§ORGH.MGM.200(b)(2)(iii) Q5
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(f)	§ORGH.MGM.200(b)(2)(iii) Q6
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(g)	§ORGH.MGM.200(b)(2)(iii) Q7
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iii) §(h)	§ORGH.MGM.200(b)(2)(iii) Q8
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iv) §(a)	§ORGH.MGM.200(b)(2)(iv) Q1
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iv) §(b)(1)	§ORGH.MGM.200(b)(2)(iv) Q2
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iv) §(b)(2)	§ORGH.MGM.200(b)(2)(iv) Q3
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iv) §(b)(3)	§ORGH.MGM.200(b)(2)(iv) Q4
<b>GM</b> GM1 ORGH.MGM.200(b)(2)(iv) §(c)	§ORGH.MGM.200(b)(2)(iv) Q5
<b>GM</b> GM1 ORGH.MGM.200(b)(4) §(a)	§ORGH.MGM.200(b)(4) Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 ORGH.MGM.200(b)(4) §(b)	§ORGH.MGM.200(b)(4) Q2
GM GM1 ORGH.MGM.200(b)(4) §(c)(1)	§ORGH.MGM.200(b)(4) Q3
GM GM1 ORGH.MGM.200(b)(4) §(c)(2)	§ORGH.MGM.200(b)(4) Q4
GM GM1 ORGH.MGM.200(b)(4) §(d)	§ORGH.MGM.200(b)(4) Q5
GM GM1 ORGH.MGM.200(b)(4) §(e)#prose §1	§ORGH.MGM.200(b)(4) Q6
GM GM1 ORGH.MGM.200(b)(4) §(e)(1)	§ORGH.MGM.200(b)(4) Q7
GM GM1 ORGH.MGM.200(b)(4) §(e)(2)	§ORGH.MGM.200(b)(4) Q8
GM GM1 ORGH.MGM.200(b)(4) §(e)(3)	§ORGH.MGM.200(b)(4) Q9
GM GM1 ORGH.MGM.200(b)(4) §(f)#prose §1	§ORGH.MGM.200(b)(4) Q10
GM GM1 ORGH.MGM.200(b)(4) §(f)(1)	§ORGH.MGM.200(b)(4) Q11
GM GM1 ORGH.MGM.200(b)(4) §(f)(2)	§ORGH.MGM.200(b)(4) Q12
GM GM1 ORGH.MGM.200(b)(4) §(f)(3)	§ORGH.MGM.200(b)(4) Q13
GM GM1 ORGH.MGM.200(b)(4) §(f)(4)	§ORGH.MGM.200(b)(4) Q14
GM GM1 ORGH.MGM.200(b)(4) §(f)(5)	§ORGH.MGM.200(b)(4) Q15
GM GM1 ORGH.MGM.200(b)(4) §(g)#prose §1	§ORGH.MGM.200(b)(4) Q16
GM GM1 ORGH.MGM.200(b)(4) §(g)(1)	§ORGH.MGM.200(b)(4) Q17
GM GM1 ORGH.MGM.200(b)(4) §(g)(2)	§ORGH.MGM.200(b)(4) Q18
GM GM1 ORGH.MGM.200(b)(6) §(a)	§ORGH.MGM.200(b)(6) Q1
GM GM1 ORGH.MGM.200(b)(6) §(b)	§ORGH.MGM.200(b)(6) Q2
GM GM1 ORGH.MGM.200(b)(6) §(c)	§ORGH.MGM.200(b)(6) Q3
GM GM1 ORGH.MGM.200(b)(6) §(d)(1)	§ORGH.MGM.200(b)(6) Q4
GM GM1 ORGH.MGM.200(b)(6) §(d)(2)	§ORGH.MGM.200(b)(6) Q5
GM GM1 ORGH.MGM.200(b)(6) §(e)	§ORGH.MGM.200(b)(6) Q6
GM GM1 ORGH.MGM.200(d) §(a)	§ORGH.MGM.200(d) Q1
GM GM1 ORGH.MGM.200(d) §(b)	§ORGH.MGM.200(d) Q2
GM GM1 ORGH.MGM.200(d) §(c)#prose §1	§ORGH.MGM.200(d) Q3
GM GM1 ORGH.MGM.200(d) §(c)(1)	§ORGH.MGM.200(d) Q4
GM GM1 ORGH.MGM.200(d) §(c)(2)	§ORGH.MGM.200(d) Q5

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(3)	§ORGH.MGM.200(d) Q6
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(4)	§ORGH.MGM.200(d) Q7
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(5)	§ORGH.MGM.200(d) Q8
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(6)	§ORGH.MGM.200(d) Q9
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(7)	§ORGH.MGM.200(d) Q10
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(8)	§ORGH.MGM.200(d) Q11
<b>GM</b> GM1 ORGH.MGM.200(d) §(c)(9)	§ORGH.MGM.200(d) Q12
<b>GM</b> GM2 ORGH.MGM.200(b)(2)	— <i>informational only</i> —
<b>GM</b> GM2 ORGH.MGM.200(b)(2)#prose §1	§ORGH.MGM.200(b)(2) Q1
<b>GM</b> GM2 ORGH.MGM.200(b)(2)#prose §2	§ORGH.MGM.200(b)(2) Q2
<b>GM</b> GM2 ORGH.MGM.200(b)(2)#prose §3	§ORGH.MGM.200(b)(2) Q3
<b>GM</b> GM2 ORGH.MGM.200(b)(2)#prose §4	§ORGH.MGM.200(b)(2) Q4
<b>GM</b> GM2 ORGH.MGM.200(b)(2)#prose §5	§ORGH.MGM.200(b)(2) Q5
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(a)	§ORGH.MGM.200(b)(2)(ii) Q1
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(b)	§ORGH.MGM.200(b)(2)(ii) Q2
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(c)(1)	§ORGH.MGM.200(b)(2)(ii) Q3
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(c)(2)	§ORGH.MGM.200(b)(2)(ii) Q4
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(c)(3)	§ORGH.MGM.200(b)(2)(ii) Q5
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(1)	§ORGH.MGM.200(b)(2)(ii) Q6
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(2)	§ORGH.MGM.200(b)(2)(ii) Q7
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(3)	§ORGH.MGM.200(b)(2)(ii) Q8
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(4)	§ORGH.MGM.200(b)(2)(ii) Q9
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(5)	§ORGH.MGM.200(b)(2)(ii) Q10
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(d)(6)	§ORGH.MGM.200(b)(2)(ii) Q11
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(e)	§ORGH.MGM.200(b)(2)(ii) Q12
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(ii) §(f)	§ORGH.MGM.200(b)(2)(ii) Q13
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(iii) §(a)	§ORGH.MGM.200(b)(2)(iii) Q2
<b>GM</b> GM2 ORGH.MGM.200(b)(2)(iii) §(b)	§ORGH.MGM.200(b)(2)(iii) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM2 ORGH.MGM.200(b)(2)(iii) §(c)	§ORGH.MGM.200(b)(2)(iii) Q4
GM GM2 ORGH.MGM.200(b)(2)(iii) §(d)(1)	§ORGH.MGM.200(b)(2)(iii) Q5
GM GM2 ORGH.MGM.200(b)(2)(iii) §(d)(2)	§ORGH.MGM.200(b)(2)(iii) Q6
GM GM2 ORGH.MGM.200(b)(2)(iii) §(d)(3)	§ORGH.MGM.200(b)(2)(iii) Q7
GM GM2 ORGH.MGM.200(b)(2)(iii)#prose §1	§ORGH.MGM.200(b)(2)(iii) Q1
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(1)	— <i>informational only</i> —
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(1)(i)	§ORGH.MGM.200(b)(2)(iv) Q1
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(1)(ii)	§ORGH.MGM.200(b)(2)(iv) Q2
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(1)(iii)	§ORGH.MGM.200(b)(2)(iv) Q3
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(2)	§ORGH.MGM.200(b)(2)(iv) Q4
GM GM2 ORGH.MGM.200(b)(2)(iv) §(a)(3)	§ORGH.MGM.200(b)(2)(iv) Q5
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)#prose §1	§ORGH.MGM.200(b)(2)(iv) Q6
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)	— <i>informational only</i> —
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(i)	§ORGH.MGM.200(b)(2)(iv) Q7
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(ii)	§ORGH.MGM.200(b)(2)(iv) Q8
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(iii)	§ORGH.MGM.200(b)(2)(iv) Q9
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(iv)	§ORGH.MGM.200(b)(2)(iv) Q10
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(v)	§ORGH.MGM.200(b)(2)(iv) Q11
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(1)(vi)	§ORGH.MGM.200(b)(2)(iv) Q12
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(10)	§ORGH.MGM.200(b)(2)(iv) Q21
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(11)	§ORGH.MGM.200(b)(2)(iv) Q22
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(12)	§ORGH.MGM.200(b)(2)(iv) Q23
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(13)	§ORGH.MGM.200(b)(2)(iv) Q24
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(2)	§ORGH.MGM.200(b)(2)(iv) Q13
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(3)	§ORGH.MGM.200(b)(2)(iv) Q14
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(3)–(13)	— <i>informational only</i> —
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(4)	§ORGH.MGM.200(b)(2)(iv) Q15
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(5)	§ORGH.MGM.200(b)(2)(iv) Q16

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(6)	§ORGH.MGM.200(b)(2)(iv) Q17
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(7)	§ORGH.MGM.200(b)(2)(iv) Q18
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(8)	§ORGH.MGM.200(b)(2)(iv) Q19
GM GM2 ORGH.MGM.200(b)(2)(iv) §(b)(9)	§ORGH.MGM.200(b)(2)(iv) Q20
GM GM2 ORGH.MGM.200(b)(2)(iv) §(c)(1)	§ORGH.MGM.200(b)(2)(iv) Q25
GM GM2 ORGH.MGM.200(b)(2)(iv) §(c)(2)	§ORGH.MGM.200(b)(2)(iv) Q26
GM GM2 ORGH.MGM.200(b)(2)(iv) §(c)(3)	§ORGH.MGM.200(b)(2)(iv) Q27
GM GM2 ORGH.MGM.200(b)(2)(iv) §(d)	— <i>informational only</i> —
GM GM2 ORGH.MGM.200(b)(2)(iv) §(d)(1)	§ORGH.MGM.200(b)(2)(iv) Q28
GM GM2 ORGH.MGM.200(b)(2)(iv) §(d)(2)	§ORGH.MGM.200(b)(2)(iv) Q29
GM GM2 ORGH.MGM.200(b)(2)(iv) §(d)(3)	§ORGH.MGM.200(b)(2)(iv) Q30
GM GM2 ORGH.MGM.200(b)(2)(iv) §(d)(4)	§ORGH.MGM.200(b)(2)(iv) Q31
GM GM2 ORGH.MGM.200(b)(6) §(a)	§ORGH.MGM.200(b)(6) Q1
GM GM2 ORGH.MGM.200(b)(6) §(b)	§ORGH.MGM.200(b)(6) Q2
GM GM3 ORGH.MGM.200(b)(2)(ii) §(a)	§ORGH.MGM.200(b)(2)(ii) Q1
GM GM3 ORGH.MGM.200(b)(2)(ii) §(b)	§ORGH.MGM.200(b)(2)(ii) Q2
GM GM3 ORGH.MGM.200(b)(2)(ii) §(c)	§ORGH.MGM.200(b)(2)(ii) Q3
GM GM3 ORGH.MGM.200(b)(2)(ii) §(d)	§ORGH.MGM.200(b)(2)(ii) Q4
GM GM3 ORGH.MGM.200(b)(2)(ii) §(e)	§ORGH.MGM.200(b)(2)(ii) Q5
GM GM3 ORGH.MGM.200(b)(2)(ii) §(f)	§ORGH.MGM.200(b)(2)(ii) Q6
GM GM3 ORGH.MGM.200(b)(2)(ii) §(g)	§ORGH.MGM.200(b)(2)(ii) Q7
GM GM3 ORGH.MGM.200(b)(2)(ii) §(h)	§ORGH.MGM.200(b)(2)(ii) Q8
GM GM3 ORGH.MGM.200(b)(2)(ii) §(i)	§ORGH.MGM.200(b)(2)(ii) Q9
GM GM3 ORGH.MGM.200(b)(2)(ii) §(j)	— <i>informational only</i> —
GM GM3 ORGH.MGM.200(b)(2)(ii) §(j)#prose §1	§ORGH.MGM.200(b)(2)(ii) Q10
GM GM3 ORGH.MGM.200(b)(2)(ii) §(j)(1)	§ORGH.MGM.200(b)(2)(ii) Q11
GM GM3 ORGH.MGM.200(b)(2)(ii) §(j)(2)	§ORGH.MGM.200(b)(2)(ii) Q12
GM GM3 ORGH.MGM.200(b)(2)(ii) §(j)(3)	§ORGH.MGM.200(b)(2)(ii) Q13

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(ii) §(k)	§ORGH.MGM.200(b)(2)(ii) Q14
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iii) §(a)	§ORGH.MGM.200(b)(2)(iii) Q1
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iii) §(b)	§ORGH.MGM.200(b)(2)(iii) Q2
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(a)	§ORGH.MGM.200(b)(2)(iv) Q1
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(b)	§ORGH.MGM.200(b)(2)(iv) Q2
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(c)	§ORGH.MGM.200(b)(2)(iv) Q3
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(d)	§ORGH.MGM.200(b)(2)(iv) Q4
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(e)	§ORGH.MGM.200(b)(2)(iv) Q5
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(f)	§ORGH.MGM.200(b)(2)(iv) Q6
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(g)	§ORGH.MGM.200(b)(2)(iv) Q7
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(h)	§ORGH.MGM.200(b)(2)(iv) Q8
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(i)	§ORGH.MGM.200(b)(2)(iv) Q9
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(j)	§ORGH.MGM.200(b)(2)(iv) Q10
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(k)	§ORGH.MGM.200(b)(2)(iv) Q11
<b>GM</b> GM3 ORGH.MGM.200(b)(2)(iv) §(l)	§ORGH.MGM.200(b)(2)(iv) Q12
<b>GM</b> GM4 ORGH.MGM.200(b)(2)(iv) §(a)	§ORGH.MGM.200(b)(2)(iv) Q1
<b>GM</b> GM4 ORGH.MGM.200(b)(2)(iv) §(b)	§ORGH.MGM.200(b)(2)(iv) Q2
<b>GM</b> GM4 ORGH.MGM.200(b)(2)(iv) §(c)	§ORGH.MGM.200(b)(2)(iv) Q3
<b>GM</b> GM4 ORGH.MGM.200(b)(2)(iv) §(d)	§ORGH.MGM.200(b)(2)(iv) Q4
<b>GM</b> GM4 ORGH.MGM.200(b)(2)(iv)#indicators §1	§ORGH.MGM.200(b)(2)(iv) Q5

## §ORGH.MGM.201

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.201	§ORGH.MGM.201 Q1

## §ORGH.MGM.205

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.205(a)	§ORGH.MGM.205 Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.205(b)	§ORGH.MGM.205 Q2
<b>IR</b> IR §ORGH.MGM.205(c)(1)	§ORGH.MGM.205 Q3
<b>IR</b> IR §ORGH.MGM.205(c)(2)	§ORGH.MGM.205 Q4
<b>IR</b> IR §ORGH.MGM.205(c)(3)	§ORGH.MGM.205 Q5
<b>IR</b> IR §ORGH.MGM.205(c)(4)	§ORGH.MGM.205 Q6
<b>AMC</b> AMC1 ORGH.MGM.205 §(a)	§ORGH.MGM.205 Q1
<b>AMC</b> AMC1 ORGH.MGM.205 §(b)	§ORGH.MGM.205 Q2
<b>AMC</b> AMC1 ORGH.MGM.205 §(c)	§ORGH.MGM.205 Q3
<b>AMC</b> AMC1 ORGH.MGM.205 §(d)	§ORGH.MGM.205 Q4
<b>AMC</b> AMC1 ORGH.MGM.205 §(e)	§ORGH.MGM.205 Q5
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)#prose §1	§ORGH.MGM.205 Q1
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(1)	§ORGH.MGM.205 Q2
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(2)	§ORGH.MGM.205 Q3
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(3)	§ORGH.MGM.205 Q4
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(4)	§ORGH.MGM.205 Q5
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(5)	§ORGH.MGM.205 Q6
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(6)	§ORGH.MGM.205 Q7
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(7)	§ORGH.MGM.205 Q8
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(8)	§ORGH.MGM.205 Q9
<b>AMC</b> AMC2 ORGH.MGM.205 §(a)(9)	§ORGH.MGM.205 Q10
<b>AMC</b> AMC2 ORGH.MGM.205 §(b)	§ORGH.MGM.205 Q11
<b>GM</b> GM1 ORGH.MGM.205 §(a)	§ORGH.MGM.205 Q1
<b>GM</b> GM1 ORGH.MGM.205 §(b)	§ORGH.MGM.205 Q2

## §ORGH.MGM.210

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.210(a)#prose §1	§ORGH.MGM.210 Q1
<b>IR</b> IR §ORGH.MGM.210(a)(1)	§ORGH.MGM.210 Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §ORGH.MGM.210(a)(2)	§ORGH.MGM.210 Q3
IR IR §ORGH.MGM.210(a)(3)	§ORGH.MGM.210 Q4
IR IR §ORGH.MGM.210(b)#prose §1	§ORGH.MGM.210 Q5
IR IR §ORGH.MGM.210(b)(1)	§ORGH.MGM.210 Q6
IR IR §ORGH.MGM.210(b)(2)	§ORGH.MGM.210 Q7
IR IR §ORGH.MGM.210(b)(3)	§ORGH.MGM.210 Q8
IR IR §ORGH.MGM.210(b)(4)	§ORGH.MGM.210 Q9
IR IR §ORGH.MGM.210(c)#prose §1	§ORGH.MGM.210 Q10
IR IR §ORGH.MGM.210(c)#prose §2	§ORGH.MGM.210 Q11
IR IR §ORGH.MGM.210(c)(1)	§ORGH.MGM.210 Q12
IR IR §ORGH.MGM.210(c)(2)	§ORGH.MGM.210 Q13
IR IR §ORGH.MGM.210(d)	— <i>informational only</i> —
IR IR §ORGH.MGM.210(d)#prose §1	§ORGH.MGM.210 Q14
IR IR §ORGH.MGM.210(d)#prose §2	§ORGH.MGM.210 Q15
IR IR §ORGH.MGM.210(e)	— <i>informational only</i> —
IR IR §ORGH.MGM.210(e)#prose §1	§ORGH.MGM.210 Q16
IR IR §ORGH.MGM.210(e)#prose §2	§ORGH.MGM.210 Q17
IR IR §ORGH.MGM.210(e)#prose §3	§ORGH.MGM.210 Q18
IR IR §ORGH.MGM.210(f)	§ORGH.MGM.210 Q19
IR IR §ORGH.MGM.210(g)(1)	§ORGH.MGM.210 Q20
IR IR §ORGH.MGM.210(g)(2)	§ORGH.MGM.210 Q21
IR IR §ORGH.MGM.210(h)	— <i>informational only</i> —
IR IR §ORGH.MGM.210(h)#prose §1	§ORGH.MGM.210 Q22
IR IR §ORGH.MGM.210(h)#prose §2	§ORGH.MGM.210 Q23
IR IR §ORGH.MGM.210(h)#prose §3	§ORGH.MGM.210 Q24
IR IR §ORGH.MGM.210(h)#prose §4	§ORGH.MGM.210 Q25
IR IR §ORGH.MGM.210(i)#prose §1	§ORGH.MGM.210 Q26
IR IR §ORGH.MGM.210(i)(1)	§ORGH.MGM.210 Q27

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.210(i)(2)	§ORGH.MGM.210 Q28
<b>IR</b> IR §ORGH.MGM.210(j)	§ORGH.MGM.210 Q29
<b>AMC</b> AMC1 ORGH.MGM.210 §(a)	§ORGH.MGM.210 Q1
<b>AMC</b> AMC1 ORGH.MGM.210 §(b)	§ORGH.MGM.210 Q2
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)#prose §1	§ORGH.MGM.210(c) Q2
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(1)	§ORGH.MGM.210(c) Q3
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(10)	§ORGH.MGM.210(c) Q12
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(11)	§ORGH.MGM.210(c) Q13
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(2)	§ORGH.MGM.210(c) Q4
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(3)	§ORGH.MGM.210(c) Q5
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(4)	§ORGH.MGM.210(c) Q6
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(5)	§ORGH.MGM.210(c) Q7
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(6)	§ORGH.MGM.210(c) Q8
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(7)	§ORGH.MGM.210(c) Q9
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(8)	§ORGH.MGM.210(c) Q10
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(a)(9)	§ORGH.MGM.210(c) Q11
<b>AMC</b> AMC1 ORGH.MGM.210(c) §(b)	§ORGH.MGM.210(c) Q14
<b>AMC</b> AMC1 ORGH.MGM.210(c)#prose §1	§ORGH.MGM.210(c) Q1
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(a)	§ORGH.MGM.210(g)(1) Q2
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(b)(1)	§ORGH.MGM.210(g)(1) Q3
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(b)(2)	§ORGH.MGM.210(g)(1) Q4
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(b)(3)	§ORGH.MGM.210(g)(1) Q5
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(c)	§ORGH.MGM.210(g)(1) Q6
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(d)#prose §1	§ORGH.MGM.210(g)(1) Q7
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(d)(1)	§ORGH.MGM.210(g)(1) Q8
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(d)(2)	§ORGH.MGM.210(g)(1) Q9
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(d)(3)	§ORGH.MGM.210(g)(1) Q10
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1) §(e)	§ORGH.MGM.210(g)(1) Q11

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.MGM.210(g)(1)#prose §1	§ORGH.MGM.210(g)(1) Q1
<b>AMC</b> AMC1 ORGH.MGM.210(j)	§ORGH.MGM.210(j) Q1
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(a)	§ORGH.MGM.210(c) Q1
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(b)	§ORGH.MGM.210(c) Q2
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)#prose §1	§ORGH.MGM.210(c) Q3
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)	— <i>informational only</i> —
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)(A)	§ORGH.MGM.210(c) Q4
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)(B)	§ORGH.MGM.210(c) Q5
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)(C)	§ORGH.MGM.210(c) Q6
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)(D)	§ORGH.MGM.210(c) Q7
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(i)(E)	§ORGH.MGM.210(c) Q8
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(ii)	§ORGH.MGM.210(c) Q9
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(1)(iii)	§ORGH.MGM.210(c) Q10
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(i)	§ORGH.MGM.210(c) Q11
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(ii)	§ORGH.MGM.210(c) Q12
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(iii)	§ORGH.MGM.210(c) Q13
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(iv)	§ORGH.MGM.210(c) Q14
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(v)	§ORGH.MGM.210(c) Q15
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(c)(2)(vi)	§ORGH.MGM.210(c) Q16
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(d)(1)	§ORGH.MGM.210(c) Q17
<b>AMC</b> AMC2 ORGH.MGM.210(c) §(d)(2)	§ORGH.MGM.210(c) Q18
<b>GM</b> GM1 ORGH.MGM.210(j) §(a)	§ORGH.MGM.210(j) Q2
<b>GM</b> GM1 ORGH.MGM.210(j) §(b)	§ORGH.MGM.210(j) Q3
<b>GM</b> GM1 ORGH.MGM.210(j) §(c)	§ORGH.MGM.210(j) Q4
<b>GM</b> GM1 ORGH.MGM.210(j) §(d)	§ORGH.MGM.210(j) Q5
<b>GM</b> GM1 ORGH.MGM.210(j) §(e)	§ORGH.MGM.210(j) Q6
<b>GM</b> GM1 ORGH.MGM.210(j) §(f)	§ORGH.MGM.210(j) Q7
<b>GM</b> GM1 ORGH.MGM.210(j)#prose §1	§ORGH.MGM.210(j) Q1

## §ORGH.MGM.215

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.215(a)	§ORGH.MGM.215 Q1
<b>IR</b> IR §ORGH.MGM.215(b)#prose §1	§ORGH.MGM.215 Q2
<b>IR</b> IR §ORGH.MGM.215(b)(1)	§ORGH.MGM.215 Q3
<b>IR</b> IR §ORGH.MGM.215(b)(2)	§ORGH.MGM.215 Q4
<b>IR</b> IR §ORGH.MGM.215(b)(3)	§ORGH.MGM.215 Q5
<b>IR</b> IR §ORGH.MGM.215(b)(4)	§ORGH.MGM.215 Q6
<b>IR</b> IR §ORGH.MGM.215(b)(5)	§ORGH.MGM.215 Q7
<b>IR</b> IR §ORGH.MGM.215(b)(6)	§ORGH.MGM.215 Q8
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)#prose §1	§ORGH.MGM.215 Q1
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(1)	§ORGH.MGM.215 Q2
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(2)	§ORGH.MGM.215 Q3
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(3)	§ORGH.MGM.215 Q4
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(4)	§ORGH.MGM.215 Q5
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(5)	§ORGH.MGM.215 Q6
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(6)	§ORGH.MGM.215 Q7
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(7)	§ORGH.MGM.215 Q8
<b>AMC</b> AMC1 ORGH.MGM.215 §(a)(8)	§ORGH.MGM.215 Q9
<b>AMC</b> AMC1 ORGH.MGM.215 §(b)	§ORGH.MGM.215 Q10
<b>AMC</b> AMC1 ORGH.MGM.215 §(c)	§ORGH.MGM.215 Q11
<b>AMC</b> AMC1 ORGH.MGM.215 §(d)	§ORGH.MGM.215 Q12
<b>AMC</b> AMC1 ORGH.MGM.215 §(e)	§ORGH.MGM.215 Q13
<b>AMC</b> AMC1 ORGH.MGM.215 §(f)	§ORGH.MGM.215 Q14
<b>AMC</b> AMC1 ORGH.MGM.215 §(g)	§ORGH.MGM.215 Q15
<b>AMC</b> AMC1 ORGH.MGM.215 §(h)	§ORGH.MGM.215 Q16
<b>AMC</b> AMC1 ORGH.MGM.215 §(i)	§ORGH.MGM.215 Q17

## §ORGH.MGM.220

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.MGM.220#prose §1	§ORGH.MGM.220 Q1
<b>IR</b> IR §ORGH.MGM.220(a)	§ORGH.MGM.220 Q2
<b>IR</b> IR §ORGH.MGM.220(b)	§ORGH.MGM.220 Q3
<b>IR</b> IR §ORGH.MGM.220(c)	§ORGH.MGM.220 Q4
<b>IR</b> IR §ORGH.MGM.220(d)	§ORGH.MGM.220 Q5
<b>GM</b> GM1 ORGH.MGM.220 §(a)	§ORGH.MGM.220 Q1
<b>GM</b> GM1 ORGH.MGM.220 §(a)(1)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(2)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(3)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(4)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(5)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(6)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(a)(7)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220 §(b)	§ORGH.MGM.220 Q2
<b>GM</b> GM1 ORGH.MGM.220 §(c)	§ORGH.MGM.220 Q3
<b>GM</b> GM1 ORGH.MGM.220(b)	§ORGH.MGM.220(b) Q1
<b>GM</b> GM1 ORGH.MGM.220(b) §(a)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220(b) §(b)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220(b) §(c)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220(b) §(d)	— informational only —
<b>GM</b> GM1 ORGH.MGM.220(b) §(e)	— informational only —

## §ORGH.TRG.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.TRG.100(a)	§ORGH.TRG.100 Q1
<b>IR</b> IR §ORGH.TRG.100(b)(1)	§ORGH.TRG.100 Q2
<b>IR</b> IR §ORGH.TRG.100(b)(2)	§ORGH.TRG.100 Q3
<b>IR</b> IR §ORGH.TRG.100(b)(3)	§ORGH.TRG.100 Q4

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.TRG.100(b)(4)(i)	§ORGH.TRG.100 Q5
<b>IR</b> IR §ORGH.TRG.100(b)(4)(ii)	§ORGH.TRG.100 Q6
<b>IR</b> IR §ORGH.TRG.100(b)(4)(iii)	§ORGH.TRG.100 Q7
<b>IR</b> IR §ORGH.TRG.100(b)(5)	§ORGH.TRG.100 Q8
<b>IR</b> IR §ORGH.TRG.100(b)(6)	§ORGH.TRG.100 Q9
<b>IR</b> IR §ORGH.TRG.100(c)(1)	§ORGH.TRG.100 Q10
<b>IR</b> IR §ORGH.TRG.100(c)(10)	§ORGH.TRG.100 Q19
<b>IR</b> IR §ORGH.TRG.100(c)(11)	§ORGH.TRG.100 Q20
<b>IR</b> IR §ORGH.TRG.100(c)(12)	§ORGH.TRG.100 Q21
<b>IR</b> IR §ORGH.TRG.100(c)(2)	§ORGH.TRG.100 Q11
<b>IR</b> IR §ORGH.TRG.100(c)(3)	§ORGH.TRG.100 Q12
<b>IR</b> IR §ORGH.TRG.100(c)(4)	§ORGH.TRG.100 Q13
<b>IR</b> IR §ORGH.TRG.100(c)(5)	§ORGH.TRG.100 Q14
<b>IR</b> IR §ORGH.TRG.100(c)(6)	§ORGH.TRG.100 Q15
<b>IR</b> IR §ORGH.TRG.100(c)(7)	§ORGH.TRG.100 Q16
<b>IR</b> IR §ORGH.TRG.100(c)(8)	§ORGH.TRG.100 Q17
<b>IR</b> IR §ORGH.TRG.100(c)(9)	§ORGH.TRG.100 Q18
<b>IR</b> IR §ORGH.TRG.100(d)	§ORGH.TRG.100 Q22
<b>IR</b> IR §ORGH.TRG.100(e)	§ORGH.TRG.100 Q23
<b>IR</b> IR §ORGH.TRG.100(f)#prose §1	§ORGH.TRG.100 Q24
<b>IR</b> IR §ORGH.TRG.100(f)#prose §2	§ORGH.TRG.100 Q25
<b>IR</b> IR §ORGH.TRG.100(f)(1)	§ORGH.TRG.100 Q26
<b>IR</b> IR §ORGH.TRG.100(f)(2)	§ORGH.TRG.100 Q27
<b>IR</b> IR §ORGH.TRG.100(f)(3)	§ORGH.TRG.100 Q28
<b>IR</b> IR §ORGH.TRG.100(g)	§ORGH.TRG.100 Q29
<b>IR</b> IR §ORGH.TRG.100(h)	§ORGH.TRG.100 Q30
<b>IR</b> IR §ORGH.TRG.100(i)	§ORGH.TRG.100 Q31
<b>IR</b> IR §ORGH.TRG.100(j)	§ORGH.TRG.100 Q32

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.TRG.100 §(a)	§ORGH.TRG.100 Q1
<b>AMC</b> AMC1 ORGH.TRG.100 §(b)	§ORGH.TRG.100 Q2
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)#prose §1	§ORGH.TRG.100 Q3
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(1)	§ORGH.TRG.100 Q4
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(2)	§ORGH.TRG.100 Q5
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(3)	§ORGH.TRG.100 Q6
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(4)	§ORGH.TRG.100 Q7
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(5)	§ORGH.TRG.100 Q8
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(6)	§ORGH.TRG.100 Q9
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(7)	§ORGH.TRG.100 Q10
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(8)	§ORGH.TRG.100 Q11
<b>AMC</b> AMC1 ORGH.TRG.100 §(c)(9)	§ORGH.TRG.100 Q12
<b>AMC</b> AMC1 ORGH.TRG.100 §(d)	§ORGH.TRG.100 Q13
<b>AMC</b> AMC1 ORGH.TRG.100 §(e)	§ORGH.TRG.100 Q14
<b>AMC</b> AMC1 ORGH.TRG.100 §(f)	§ORGH.TRG.100 Q15
<b>AMC</b> AMC1 ORGH.TRG.100 §(g)	§ORGH.TRG.100 Q16
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(a)	§ORGH.TRG.100(b)(3) Q1
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(b)	§ORGH.TRG.100(b)(3) Q2
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(c)#prose §1	§ORGH.TRG.100(b)(3) Q3
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(c)(1)	§ORGH.TRG.100(b)(3) Q4
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(c)(2)	§ORGH.TRG.100(b)(3) Q5
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(c)(3)	§ORGH.TRG.100(b)(3) Q6
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(d)	§ORGH.TRG.100(b)(3) Q7
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(e)	§ORGH.TRG.100(b)(3) Q8
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(f)	§ORGH.TRG.100(b)(3) Q9
<b>AMC</b> AMC1 ORGH.TRG.100(b)(3) §(g)	§ORGH.TRG.100(b)(3) Q10
<b>AMC</b> AMC1 ORGH.TRG.100(c) §(a)#prose §1	§ORGH.TRG.100(c) Q1
<b>AMC</b> AMC1 ORGH.TRG.100(c) §(a)(1)#prose §1	§ORGH.TRG.100(c) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 ORGH.TRG.100(c) §(a)(1)(i)	§ORGH.TRG.100(c) Q3
AMC AMC1 ORGH.TRG.100(c) §(a)(1)(ii)	§ORGH.TRG.100(c) Q4
AMC AMC1 ORGH.TRG.100(c) §(a)(1)(iii)	§ORGH.TRG.100(c) Q5
AMC AMC1 ORGH.TRG.100(c) §(a)(1)(iv)	§ORGH.TRG.100(c) Q6
AMC AMC1 ORGH.TRG.100(c) §(a)(10)	§ORGH.TRG.100(c) Q15
AMC AMC1 ORGH.TRG.100(c) §(a)(11)	§ORGH.TRG.100(c) Q16
AMC AMC1 ORGH.TRG.100(c) §(a)(12)	§ORGH.TRG.100(c) Q17
AMC AMC1 ORGH.TRG.100(c) §(a)(13)	§ORGH.TRG.100(c) Q18
AMC AMC1 ORGH.TRG.100(c) §(a)(14)	§ORGH.TRG.100(c) Q19
AMC AMC1 ORGH.TRG.100(c) §(a)(15)	§ORGH.TRG.100(c) Q20
AMC AMC1 ORGH.TRG.100(c) §(a)(16)	§ORGH.TRG.100(c) Q21
AMC AMC1 ORGH.TRG.100(c) §(a)(17)	§ORGH.TRG.100(c) Q22
AMC AMC1 ORGH.TRG.100(c) §(a)(18)	§ORGH.TRG.100(c) Q23
AMC AMC1 ORGH.TRG.100(c) §(a)(19)	§ORGH.TRG.100(c) Q24
AMC AMC1 ORGH.TRG.100(c) §(a)(2)	§ORGH.TRG.100(c) Q7
AMC AMC1 ORGH.TRG.100(c) §(a)(20)	§ORGH.TRG.100(c) Q25
AMC AMC1 ORGH.TRG.100(c) §(a)(21)	§ORGH.TRG.100(c) Q26
AMC AMC1 ORGH.TRG.100(c) §(a)(3)	§ORGH.TRG.100(c) Q8
AMC AMC1 ORGH.TRG.100(c) §(a)(4)	§ORGH.TRG.100(c) Q9
AMC AMC1 ORGH.TRG.100(c) §(a)(5)	§ORGH.TRG.100(c) Q10
AMC AMC1 ORGH.TRG.100(c) §(a)(6)	§ORGH.TRG.100(c) Q11
AMC AMC1 ORGH.TRG.100(c) §(a)(7)	§ORGH.TRG.100(c) Q12
AMC AMC1 ORGH.TRG.100(c) §(a)(8)	§ORGH.TRG.100(c) Q13
AMC AMC1 ORGH.TRG.100(c) §(a)(9)	§ORGH.TRG.100(c) Q14
AMC AMC1 ORGH.TRG.100(c) §(b)	§ORGH.TRG.100(c) Q27
AMC AMC1 ORGH.TRG.100(d) §(a)	§ORGH.TRG.100(d) Q1
AMC AMC1 ORGH.TRG.100(d) §(b)#prose §1	§ORGH.TRG.100(d) Q2
AMC AMC1 ORGH.TRG.100(d) §(b)(1)	§ORGH.TRG.100(d) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC1 ORGH.TRG.100(d) §(b)(2)	§ORGH.TRG.100(d) Q4
<b>AMC</b> AMC1 ORGH.TRG.100(d) §(b)(3)	§ORGH.TRG.100(d) Q5
<b>AMC</b> AMC1 ORGH.TRG.100(d) §(b)(4)	§ORGH.TRG.100(d) Q6
<b>AMC</b> AMC1 ORGH.TRG.100(d) §(c)	§ORGH.TRG.100(d) Q7
<b>AMC</b> AMC1 ORGH.TRG.100(i) §(a)	§ORGH.TRG.100(i) Q1
<b>AMC</b> AMC1 ORGH.TRG.100(i) §(b)	§ORGH.TRG.100(i) Q2
<b>AMC</b> AMC1 ORGH.TRG.100(i) §(c)	§ORGH.TRG.100(i) Q3
<b>AMC</b> AMC1 ORGH.TRG.100(i) §(d)	§ORGH.TRG.100(i) Q4
<b>AMC</b> AMC1 ORGH.TRG.100(j) §(a)	§ORGH.TRG.100(j) Q2
<b>AMC</b> AMC1 ORGH.TRG.100(j) §(b)	§ORGH.TRG.100(j) Q3
<b>AMC</b> AMC1 ORGH.TRG.100(j) §(c)	§ORGH.TRG.100(j) Q4
<b>AMC</b> AMC1 ORGH.TRG.100(j) §(d)	§ORGH.TRG.100(j) Q5
<b>AMC</b> AMC1 ORGH.TRG.100(j) §0#prose §1	§ORGH.TRG.100(j) Q1
<b>AMC</b> AMC2 ORGH.TRG.100 §(a)	§ORGH.TRG.100 Q1
<b>AMC</b> AMC2 ORGH.TRG.100 §(b)	§ORGH.TRG.100 Q2
<b>AMC</b> AMC2 ORGH.TRG.100 §(c)	§ORGH.TRG.100 Q3
<b>AMC</b> AMC2 ORGH.TRG.100 §(d)	§ORGH.TRG.100 Q4
<b>AMC</b> AMC2 ORGH.TRG.100 §(e)#prose §1	§ORGH.TRG.100 Q5
<b>AMC</b> AMC2 ORGH.TRG.100 §(e)(1)	§ORGH.TRG.100 Q6
<b>AMC</b> AMC2 ORGH.TRG.100 §(e)(2)	§ORGH.TRG.100 Q7
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)#prose §1	§ORGH.TRG.100(c) Q2
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(1)	§ORGH.TRG.100(c) Q3
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(2)	§ORGH.TRG.100(c) Q4
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(3)	§ORGH.TRG.100(c) Q5
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(4)	§ORGH.TRG.100(c) Q6
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(5)	§ORGH.TRG.100(c) Q7
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(6)	§ORGH.TRG.100(c) Q8
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(7)	§ORGH.TRG.100(c) Q9

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(8)	§ORGH.TRG.100(c) Q10
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(a)(9)	§ORGH.TRG.100(c) Q11
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(b)	§ORGH.TRG.100(c) Q12
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(c)	§ORGH.TRG.100(c) Q13
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(d)	§ORGH.TRG.100(c) Q14
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(e)	§ORGH.TRG.100(c) Q15
<b>AMC</b> AMC2 ORGH.TRG.100(c) §(f)	§ORGH.TRG.100(c) Q16
<b>AMC</b> AMC2 ORGH.TRG.100(c) §0#prose §1	§ORGH.TRG.100(c) Q1
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)#prose §1	§ORGH.TRG.100(i) Q1
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)(1)	§ORGH.TRG.100(i) Q2
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)(2)	§ORGH.TRG.100(i) Q3
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)(3)	§ORGH.TRG.100(i) Q4
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)(4)	§ORGH.TRG.100(i) Q5
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(a)(5)	§ORGH.TRG.100(i) Q6
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(b)#prose §1	§ORGH.TRG.100(i) Q7
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(b)(1)	§ORGH.TRG.100(i) Q8
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(b)(2)	§ORGH.TRG.100(i) Q9
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(b)(3)	§ORGH.TRG.100(i) Q10
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(c)#prose §1	§ORGH.TRG.100(i) Q11
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(c)(1)	§ORGH.TRG.100(i) Q12
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(c)(2)	§ORGH.TRG.100(i) Q13
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(c)(3)	§ORGH.TRG.100(i) Q14
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(d)#prose §1	§ORGH.TRG.100(i) Q15
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(d)(1)	§ORGH.TRG.100(i) Q16
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(d)(2)	§ORGH.TRG.100(i) Q17
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(d)(3)	§ORGH.TRG.100(i) Q18
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(e)#prose §1	§ORGH.TRG.100(i) Q19
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(e)(1)	§ORGH.TRG.100(i) Q20

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(e)(2)	§ORGH.TRG.100(i) Q21
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(e)(3)	§ORGH.TRG.100(i) Q22
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(e)(4)	§ORGH.TRG.100(i) Q23
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(f)#prose §1	§ORGH.TRG.100(i) Q24
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(f)(1)	§ORGH.TRG.100(i) Q25
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(f)(2)	§ORGH.TRG.100(i) Q26
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(f)(3)	§ORGH.TRG.100(i) Q27
<b>AMC</b> AMC2 ORGH.TRG.100(i) §(g)	§ORGH.TRG.100(i) Q28
<b>AMC</b> AMC3 ORGH.TRG.100 §(a)	§ORGH.TRG.100 Q1
<b>AMC</b> AMC3 ORGH.TRG.100 §(b)	§ORGH.TRG.100 Q2
<b>AMC</b> AMC3 ORGH.TRG.100 §(c)	§ORGH.TRG.100 Q3
<b>AMC</b> AMC3 ORGH.TRG.100 §(d)	§ORGH.TRG.100 Q4
<b>AMC</b> AMC3 ORGH.TRG.100 §(e)	§ORGH.TRG.100 Q5
<b>AMC</b> AMC3 ORGH.TRG.100 §(f)	§ORGH.TRG.100 Q6
<b>AMC</b> AMC3 ORGH.TRG.100 §(g)	§ORGH.TRG.100 Q7
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(a)	§ORGH.TRG.100(c) Q1
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(b)#prose §1	§ORGH.TRG.100(c) Q2
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(b)(1)	§ORGH.TRG.100(c) Q3
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(b)(2)	§ORGH.TRG.100(c) Q4
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(b)(3)	§ORGH.TRG.100(c) Q5
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(b)(4)	§ORGH.TRG.100(c) Q6
<b>AMC</b> AMC3 ORGH.TRG.100(c) §(c)	§ORGH.TRG.100(c) Q7
<b>AMC</b> AMC4 ORGH.TRG.100(c) §(a)	§ORGH.TRG.100(c) Q1
<b>AMC</b> AMC4 ORGH.TRG.100(c) §(b)	§ORGH.TRG.100(c) Q2
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(a)#prose §1	§ORGH.TRG.100(c) Q1
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(a)(1)	§ORGH.TRG.100(c) Q2
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(a)(2)	§ORGH.TRG.100(c) Q3
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(a)(3)	§ORGH.TRG.100(c) Q4

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(a)(4)	§ORGH.TRG.100(c) Q5
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)#prose §1	§ORGH.TRG.100(c) Q6
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(1)	§ORGH.TRG.100(c) Q7
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(2)	§ORGH.TRG.100(c) Q8
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(3)	§ORGH.TRG.100(c) Q9
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(4)	§ORGH.TRG.100(c) Q10
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(5)	§ORGH.TRG.100(c) Q11
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(6)	§ORGH.TRG.100(c) Q12
<b>AMC</b> AMC5 ORGH.TRG.100(c) §(b)(7)	§ORGH.TRG.100(c) Q13
<b>GM</b> GM1 ORGH.TRG.100 §(a)	§ORGH.TRG.100 Q1
<b>GM</b> GM1 ORGH.TRG.100 §(b)	§ORGH.TRG.100 Q2
<b>GM</b> GM1 ORGH.TRG.100 §(c)	§ORGH.TRG.100 Q3
<b>GM</b> GM1 ORGH.TRG.100 §(d)	§ORGH.TRG.100 Q4
<b>GM</b> GM1 ORGH.TRG.100(a)	§ORGH.TRG.100(a) Q1
<b>GM</b> GM1 ORGH.TRG.100(b)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(b) Step 1	§ORGH.TRG.100(b) Q1
<b>GM</b> GM1 ORGH.TRG.100(b) Step 2	§ORGH.TRG.100(b) Q2
<b>GM</b> GM1 ORGH.TRG.100(b) Step 3	§ORGH.TRG.100(b) Q3
<b>GM</b> GM1 ORGH.TRG.100(b) Step 4	§ORGH.TRG.100(b) Q4
<b>GM</b> GM1 ORGH.TRG.100(b) Step 5	§ORGH.TRG.100(b) Q5
<b>GM</b> GM1 ORGH.TRG.100(b) Step 6	§ORGH.TRG.100(b) Q6
<b>GM</b> GM1 ORGH.TRG.100(b) Step 7	§ORGH.TRG.100(b) Q7
<b>GM</b> GM1 ORGH.TRG.100(b) Step 8	§ORGH.TRG.100(b) Q8
<b>GM</b> GM1 ORGH.TRG.100(b) Step 9	§ORGH.TRG.100(b) Q9
<b>GM</b> GM1 ORGH.TRG.100(b)(6)	§ORGH.TRG.100(b)(6) Q1
<b>GM</b> GM1 ORGH.TRG.100(d) §(a)#prose §1	§ORGH.TRG.100(d) Q1
<b>GM</b> GM1 ORGH.TRG.100(d) §(a)(1)	§ORGH.TRG.100(d) Q2
<b>GM</b> GM1 ORGH.TRG.100(d) §(a)(2)	§ORGH.TRG.100(d) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)#prose §1	§ORGH.TRG.100(d) Q4
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(1)	§ORGH.TRG.100(d) Q5
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(2)	§ORGH.TRG.100(d) Q6
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(2)(i)	§ORGH.TRG.100(d) Q7
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(2)(ii)	§ORGH.TRG.100(d) Q8
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(2)(iii)	§ORGH.TRG.100(d) Q9
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(3)#prose §1	§ORGH.TRG.100(d) Q10
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(3)(i)	§ORGH.TRG.100(d) Q11
<b>GM</b> GM1 ORGH.TRG.100(d) §(b)(3)(ii)	§ORGH.TRG.100(d) Q12
<b>GM</b> GM1 ORGH.TRG.100(d) §(c)	§ORGH.TRG.100(d) Q13
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)#prose §1	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(1)(i)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(1)(ii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(1)(iv)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(1)(v)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(2)(i)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(2)(ii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(2)(iii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(2)(iv)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(3)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(4)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(a)(5)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(1)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(1)(i)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(1)(ii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(i)	— <i>informational only</i> —

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(ii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(iii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(iv)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(ix)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(v)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(vi)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(vii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(2)(viii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(i)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(ii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(iii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(iv)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(ix)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(v)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(vi)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(vii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(viii)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §(b)(3)(x)	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §0#prose §1	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §0#prose §2	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §0#prose §3	— <i>informational only</i> —
<b>GM</b> GM1 ORGH.TRG.100(i) §0#prose §4	— <i>informational only</i> —
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)#prose §1	SORGH.TRG.100(c) Q2
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(1)	SORGH.TRG.100(c) Q3
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(2)	SORGH.TRG.100(c) Q4
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(3)	SORGH.TRG.100(c) Q5
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(4)	SORGH.TRG.100(c) Q6
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(5)	SORGH.TRG.100(c) Q7
<b>GM</b> GM2 ORGH.TRG.100(c) §(a)(6)	SORGH.TRG.100(c) Q8

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)#prose §1	§ORGH.TRG.100(c) Q9
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(1)	§ORGH.TRG.100(c) Q10
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(10)	§ORGH.TRG.100(c) Q19
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(11)	§ORGH.TRG.100(c) Q20
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(2)	§ORGH.TRG.100(c) Q11
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(3)	§ORGH.TRG.100(c) Q12
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(4)	§ORGH.TRG.100(c) Q13
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(5)	§ORGH.TRG.100(c) Q14
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(6)	§ORGH.TRG.100(c) Q15
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(7)	§ORGH.TRG.100(c) Q16
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(8)	§ORGH.TRG.100(c) Q17
<b>GM</b> GM2 ORGH.TRG.100(c) §(b)(9)	§ORGH.TRG.100(c) Q18
<b>GM</b> GM2 ORGH.TRG.100(c) §0#prose §1	§ORGH.TRG.100(c) Q1
<b>GM</b> GM2 ORGH.TRG.100(d) §(a)#prose §1	§ORGH.TRG.100(d) Q1
<b>GM</b> GM2 ORGH.TRG.100(d) §(a)(1)	§ORGH.TRG.100(d) Q2
<b>GM</b> GM2 ORGH.TRG.100(d) §(a)(2)	§ORGH.TRG.100(d) Q3
<b>GM</b> GM2 ORGH.TRG.100(d) §(b)	§ORGH.TRG.100(d) Q4
<b>GM</b> GM2 ORGH.TRG.100(d) §(c)	§ORGH.TRG.100(d) Q5
<b>GM</b> GM2 ORGH.TRG.100(d) §(d)	§ORGH.TRG.100(d) Q6
<b>GM</b> GM2 ORGH.TRG.100(d) §(e)	§ORGH.TRG.100(d) Q7
<b>GM</b> GM2 ORGH.TRG.100(d) §(f)	§ORGH.TRG.100(d) Q8
<b>GM</b> GM3 ORGH.TRG.100(c) §(a)	§ORGH.TRG.100(c) Q1
<b>GM</b> GM3 ORGH.TRG.100(c) §(b)#prose §1	§ORGH.TRG.100(c) Q2
<b>GM</b> GM3 ORGH.TRG.100(c) §(b)(1)	§ORGH.TRG.100(c) Q3
<b>GM</b> GM3 ORGH.TRG.100(c) §(b)(2)	§ORGH.TRG.100(c) Q4
<b>GM</b> GM3 ORGH.TRG.100(c) §(b)(3)	§ORGH.TRG.100(c) Q5
<b>GM</b> GM3 ORGH.TRG.100(c) §(b)(4)	§ORGH.TRG.100(c) Q6
<b>GM</b> GM3 ORGH.TRG.100(c) §(c)#prose §1	§ORGH.TRG.100(c) Q7

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM3 ORGH.TRG.100(c) §(c)(1)	§ORGH.TRG.100(c) Q8
<b>GM</b> GM3 ORGH.TRG.100(c) §(c)(2)	§ORGH.TRG.100(c) Q9
<b>GM</b> GM3 ORGH.TRG.100(c) §(c)(3)	§ORGH.TRG.100(c) Q10
<b>GM</b> GM3 ORGH.TRG.100(c) §(c)(4)	§ORGH.TRG.100(c) Q11
<b>GM</b> GM3 ORGH.TRG.100(c) §(d)#prose §1	§ORGH.TRG.100(c) Q12
<b>GM</b> GM3 ORGH.TRG.100(c) §(d)(1)	§ORGH.TRG.100(c) Q13
<b>GM</b> GM3 ORGH.TRG.100(c) §(d)(2)	§ORGH.TRG.100(c) Q14
<b>GM</b> GM3 ORGH.TRG.100(c) §(d)(3)	§ORGH.TRG.100(c) Q15
<b>GM</b> GM3 ORGH.TRG.100(c) §(d)(4)	§ORGH.TRG.100(c) Q16
<b>GM</b> GM3 ORGH.TRG.100(d)	— <i>informational only</i> —
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q10
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q11
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q3
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q4
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q5
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q6
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q7
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q8
<b>GM</b> GM3 ORGH.TRG.100(d)	§ORGH.TRG.100(d) Q9
<b>GM</b> GM3 ORGH.TRG.100(d) §0#prose §1	§ORGH.TRG.100(d) Q1
<b>GM</b> GM3 ORGH.TRG.100(d) §0#prose §2	§ORGH.TRG.100(d) Q2
<b>GM</b> GM4 ORGH.TRG.100(c) §(a)	§ORGH.TRG.100(c) Q1
<b>GM</b> GM4 ORGH.TRG.100(c) §(b)	§ORGH.TRG.100(c) Q2
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)#prose §1	§ORGH.TRG.100(c) Q3
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(1)	§ORGH.TRG.100(c) Q4
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(2)	§ORGH.TRG.100(c) Q5
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(3)	§ORGH.TRG.100(c) Q6
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(4)	§ORGH.TRG.100(c) Q7

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(5)	§ORGH.TRG.100(c) Q8
<b>GM</b> GM4 ORGH.TRG.100(c) §(c)(6)	§ORGH.TRG.100(c) Q9
<b>GM</b> GM4 ORGH.TRG.100(c) §(d)#prose §1	§ORGH.TRG.100(c) Q10
<b>GM</b> GM4 ORGH.TRG.100(c) §(d)(1)	§ORGH.TRG.100(c) Q11
<b>GM</b> GM4 ORGH.TRG.100(c) §(d)(2)	§ORGH.TRG.100(c) Q12
<b>GM</b> GM4 ORGH.TRG.100(c) §(d)(3)	§ORGH.TRG.100(c) Q13
<b>GM</b> GM4 ORGH.TRG.100(c) §(d)(4)	§ORGH.TRG.100(c) Q14
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)#prose §1	§ORGH.TRG.100(c) Q15
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)(1)	§ORGH.TRG.100(c) Q16
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)(2)	§ORGH.TRG.100(c) Q17
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)(3)	§ORGH.TRG.100(c) Q18
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)(4)	§ORGH.TRG.100(c) Q19
<b>GM</b> GM4 ORGH.TRG.100(c) §(e)(5)	§ORGH.TRG.100(c) Q20
<b>GM</b> GM4 ORGH.TRG.100(c)	§ORGH.TRG.100(c) Q21
<b>GM</b> GM4 ORGH.TRG.100(c) §(f)	§ORGH.TRG.100(c) Q22

## §ORGH.TRG.105

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.TRG.105(a)	§ORGH.TRG.105 Q1
<b>IR</b> IR §ORGH.TRG.105(b)	§ORGH.TRG.105 Q2
<b>IR</b> IR §ORGH.TRG.105(c)#prose §1	§ORGH.TRG.105 Q3
<b>IR</b> IR §ORGH.TRG.105(c)(1)	§ORGH.TRG.105 Q4
<b>IR</b> IR §ORGH.TRG.105(c)(2)	§ORGH.TRG.105 Q5
<b>IR</b> IR §ORGH.TRG.105(c)(3)	§ORGH.TRG.105 Q6
<b>IR</b> IR §ORGH.TRG.105(c)(4)	§ORGH.TRG.105 Q7
<b>IR</b> IR §ORGH.TRG.105(c)(5)	§ORGH.TRG.105 Q8
<b>IR</b> IR §ORGH.TRG.105(c)(6)	§ORGH.TRG.105 Q9

## §ORGH.TRG.110

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
<b>IR</b> IR §ORGH.TRG.110(a)	§ORGH.TRG.110 Q1
<b>IR</b> IR §ORGH.TRG.110(b)	§ORGH.TRG.110 Q2
<b>IR</b> IR §ORGH.TRG.110(c)	§ORGH.TRG.110 Q3
<b>IR</b> IR §ORGH.TRG.110(d)#prose §1	§ORGH.TRG.110 Q4
<b>IR</b> IR §ORGH.TRG.110(d)(1)	§ORGH.TRG.110 Q5
<b>IR</b> IR §ORGH.TRG.110(d)(2)	§ORGH.TRG.110 Q6
<b>IR</b> IR §ORGH.TRG.110(d)(3)	§ORGH.TRG.110 Q7
<b>IR</b> IR §ORGH.TRG.110(d)(4)	§ORGH.TRG.110 Q8
<b>IR</b> IR §ORGH.TRG.110(d)(5)	§ORGH.TRG.110 Q9
<b>IR</b> IR §ORGH.TRG.110(d)(6)	§ORGH.TRG.110 Q10
<b>AMC</b> AMC1 ORGH.TRG.110(a)	§ORGH.TRG.110(a) Q1