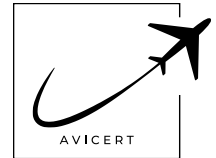


Compliance Matrix – PART-IS.I.OR

EASA Audit Checklist · information-security · v2025-12-05



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Reviewed against: Easy Access Rules for Information Security, version 2025-12-05 — IR per Commission Implementing Regulation (EU) 2023/203; AMC/GM per ED Decision 2023/008/R

Regulation hooks: 685 **IR:** 136 / 147 **AMC:** 172 / 195 **GM:** 292 / 343 **Generated:** 2026-05-25T18:07:58

Each question reference is given as §<cite> Q<n> — open the corresponding section of the audit checklist and locate the question by its number within that section.

§IS.I.OR.100

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.100	§IS.I.OR.100 Q1

§IS.I.OR.200

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.200(a)(1)	§IS.I.OR.200 Q1
IR IR §IS.I.OR.200(a)(10)	§IS.I.OR.200 Q10
IR IR §IS.I.OR.200(a)(11)	§IS.I.OR.200 Q11
IR IR §IS.I.OR.200(a)(12)	§IS.I.OR.200 Q12
IR IR §IS.I.OR.200(a)(13)	§IS.I.OR.200 Q13
IR IR §IS.I.OR.200(a)(2)	§IS.I.OR.200 Q2
IR IR §IS.I.OR.200(a)(3)	§IS.I.OR.200 Q3
IR IR §IS.I.OR.200(a)(4)	§IS.I.OR.200 Q4
IR IR §IS.I.OR.200(a)(5)	§IS.I.OR.200 Q5
IR IR §IS.I.OR.200(a)(6)	§IS.I.OR.200 Q6
IR IR §IS.I.OR.200(a)(7)	§IS.I.OR.200 Q7
IR IR §IS.I.OR.200(a)(8)	§IS.I.OR.200 Q8
IR IR §IS.I.OR.200(a)(9)	§IS.I.OR.200 Q9

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.200(b)	§IS.I.OR.200 Q14
IR IR §IS.I.OR.200(c)	§IS.I.OR.200 Q15
IR IR §IS.I.OR.200(d)	§IS.I.OR.200 Q16
IR IR §IS.I.OR.200(e)	§IS.I.OR.200 Q17
AMC AMC1 IS.I.OR.200(a)(1) §(a)	§IS.I.OR.200(a)(1) Q1 §IS.I.OR.200(a)(1) Q1
AMC AMC1 IS.I.OR.200(a)(1) §(b)	§IS.I.OR.200(a)(1) Q2 §IS.I.OR.200(a)(1) Q2
AMC AMC1 IS.I.OR.200(a)(1) §(c)	§IS.I.OR.200(a)(1) Q3 §IS.I.OR.200(a)(1) Q3
AMC AMC1 IS.I.OR.200(a)(1) §(d)	§IS.I.OR.200(a)(1) Q4 §IS.I.OR.200(a)(1) Q4
AMC AMC1 IS.I.OR.200(a)(1) §(e)	§IS.I.OR.200(a)(1) Q5 §IS.I.OR.200(a)(1) Q5
AMC AMC1 IS.I.OR.200(a)(1) §(f)	§IS.I.OR.200(a)(1) Q6 §IS.I.OR.200(a)(1) Q6
AMC AMC1 IS.I.OR.200(a)(1) §(g)	§IS.I.OR.200(a)(1) Q7 §IS.I.OR.200(a)(1) Q7
AMC AMC1 IS.I.OR.200(a)(1) §(h)	§IS.I.OR.200(a)(1) Q8 §IS.I.OR.200(a)(1) Q8
AMC AMC1 IS.I.OR.200(a)(1) §(i)	§IS.I.OR.200(a)(1) Q9 §IS.I.OR.200(a)(1) Q9
AMC AMC1 IS.I.OR.200(a)(1) §(j)	§IS.I.OR.200(a)(1) Q10 §IS.I.OR.200(a)(1) Q10
AMC AMC1 IS.I.OR.200(a)(12)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.200(a)(13)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.200(c) §(a)	§IS.I.OR.200(c) Q1 §IS.I.OR.200(c) Q1
AMC AMC1 IS.I.OR.200(c) §(b)	§IS.I.OR.200(c) Q2 §IS.I.OR.200(c) Q2
AMC AMC1 IS.I.OR.200(c) §(c)	§IS.I.OR.200(c) Q3 §IS.I.OR.200(c) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 IS.I.OR.200(c) §(d)	§IS.I.OR.200(c) Q4 §IS.I.OR.200(c) Q4
AMC AMC1 IS.I.OR.200(c) §(e)	§IS.I.OR.200(c) Q5 §IS.I.OR.200(c) Q5
AMC AMC1 IS.I.OR.200(c) §(f)(1)	§IS.I.OR.200(c) Q6 §IS.I.OR.200(c) Q6
AMC AMC1 IS.I.OR.200(c) §(f)(2)	§IS.I.OR.200(c) Q7 §IS.I.OR.200(c) Q7
AMC AMC1 IS.I.OR.200(e)	– <i>informational only</i> –
GM GM1 IS.I.OR.200 §(a)	§IS.I.OR.200 Q1
GM GM1 IS.I.OR.200 §(b)	§IS.I.OR.200 Q2
GM GM1 IS.I.OR.200 §(c)	§IS.I.OR.200 Q3
GM GM1 IS.I.OR.200 §(d)	§IS.I.OR.200 Q4
GM GM1 IS.I.OR.200 §(e)	§IS.I.OR.200 Q5
GM GM1 IS.I.OR.200 §(f)	§IS.I.OR.200 Q6
GM GM1 IS.I.OR.200 §(g)	§IS.I.OR.200 Q7
GM GM1 IS.I.OR.200 §(h)	§IS.I.OR.200 Q8
GM GM1 IS.I.OR.200 §(i)	§IS.I.OR.200 Q9
GM GM1 IS.I.OR.200 §(j)	§IS.I.OR.200 Q10
GM GM1 IS.I.OR.200 §(k)	§IS.I.OR.200 Q11
GM GM1 IS.I.OR.200 §(l)	§IS.I.OR.200 Q12
GM GM1 IS.I.OR.200 §(m)	§IS.I.OR.200 Q13
GM GM1 IS.I.OR.200 §(n)	§IS.I.OR.200 Q14
GM GM1 IS.I.OR.200(a)(1)	– <i>informational only</i> –
GM GM1 IS.I.OR.200(a)(12)	– <i>informational only</i> –
GM GM1 IS.I.OR.200(c) §(a)	§IS.I.OR.200(c) Q1
GM GM1 IS.I.OR.200(c) §(b)	§IS.I.OR.200(c) Q2
GM GM1 IS.I.OR.200(c) §(c)	§IS.I.OR.200(c) Q3
GM GM1 IS.I.OR.200(c) §(d)	§IS.I.OR.200(c) Q4
GM GM1 IS.I.OR.200(c) §(e)	§IS.I.OR.200(c) Q5

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.200(c) §(f)	§IS.I.OR.200(c) Q6
GM GM1 IS.I.OR.200(c) §(g)	§IS.I.OR.200(c) Q7
GM GM1 IS.I.OR.200(c) §(h)	§IS.I.OR.200(c) Q8
GM GM1 IS.I.OR.200(d) §(a)	§IS.I.OR.200(d) Q1
GM GM1 IS.I.OR.200(d) §(b)	§IS.I.OR.200(d) Q2
GM GM1 IS.I.OR.200(d) §(c)	§IS.I.OR.200(d) Q3
GM GM1 IS.I.OR.200(e)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.200(a)(1)#prose §1	§IS.I.OR.200(a)(1) Q11 §IS.I.OR.200(a)(1) Q11
AMC AMC1 IS.I.OR.200(a)(1)#prose §2	§IS.I.OR.200(a)(1) Q12 §IS.I.OR.200(a)(1) Q12
AMC AMC1 IS.I.OR.200(a)(1)#prose §3	§IS.I.OR.200(a)(1) Q13 §IS.I.OR.200(a)(1) Q13
AMC AMC1 IS.I.OR.200(a)(12)#prose §1	§IS.I.OR.200(a)(12) Q1 §IS.I.OR.200(a)(12) Q1
AMC AMC1 IS.I.OR.200(a)(12)#prose §2	§IS.I.OR.200(a)(12) Q2 §IS.I.OR.200(a)(12) Q2
AMC AMC1 IS.I.OR.200(a)(12)#prose §3	§IS.I.OR.200(a)(12) Q3 §IS.I.OR.200(a)(12) Q3
AMC AMC1 IS.I.OR.200(a)(13)#prose §1	§IS.I.OR.200(a)(13) Q1 §IS.I.OR.200(a)(13) Q1
AMC AMC1 IS.I.OR.200(a)(13)#prose §2	§IS.I.OR.200(a)(13) Q2 §IS.I.OR.200(a)(13) Q2
AMC AMC1 IS.I.OR.200(e)#prose §1	§IS.I.OR.200(e) Q1 §IS.I.OR.200(e) Q1
AMC AMC1 IS.I.OR.200(e)#prose §2	§IS.I.OR.200(e) Q2 §IS.I.OR.200(e) Q2
GM GM1 IS.I.OR.200#compliance §1	§IS.I.OR.200 Q15
GM GM1 IS.I.OR.200#compliance §2	§IS.I.OR.200 Q16
GM GM1 IS.I.OR.200#csf §1	§IS.I.OR.200 Q17
GM GM1 IS.I.OR.200#csf §2	§IS.I.OR.200 Q18
GM GM1 IS.I.OR.200#csf §3	§IS.I.OR.200 Q19

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.200#csf §4	§IS.I.OR.200 Q20
GM GM1 IS.I.OR.200#csf §5	§IS.I.OR.200 Q21
GM GM1 IS.I.OR.200#iso §1	§IS.I.OR.200 Q22
GM GM1 IS.I.OR.200(a)(1)#prose §1	§IS.I.OR.200(a)(1) Q1
GM GM1 IS.I.OR.200(a)(1)#prose §2	§IS.I.OR.200(a)(1) Q2
GM GM1 IS.I.OR.200(a)(1)#prose §3	§IS.I.OR.200(a)(1) Q3
GM GM1 IS.I.OR.200(a)(1)#prose §4	§IS.I.OR.200(a)(1) Q4
GM GM1 IS.I.OR.200(a)(1)#prose §5	§IS.I.OR.200(a)(1) Q5
GM GM1 IS.I.OR.200(a)(1)#prose §6	§IS.I.OR.200(a)(1) Q6
GM GM1 IS.I.OR.200(a)(1)#prose §7	§IS.I.OR.200(a)(1) Q7
GM GM1 IS.I.OR.200(a)(1)#prose §8	§IS.I.OR.200(a)(1) Q8
GM GM1 IS.I.OR.200(a)(1)#prose §9	§IS.I.OR.200(a)(1) Q9
GM GM1 IS.I.OR.200(a)(1)#prose §10	§IS.I.OR.200(a)(1) Q10
GM GM1 IS.I.OR.200(a)(12)#prose §1	§IS.I.OR.200(a)(12) Q1
GM GM1 IS.I.OR.200(a)(12)#prose §2	§IS.I.OR.200(a)(12) Q2
GM GM1 IS.I.OR.200(a)(12)#prose §3	§IS.I.OR.200(a)(12) Q3
GM GM1 IS.I.OR.200(a)(12)#prose §4	§IS.I.OR.200(a)(12) Q4
GM GM1 IS.I.OR.200(d)#proportionality §1	§IS.I.OR.200(d) Q4
GM GM1 IS.I.OR.200(d)#proportionality §2	§IS.I.OR.200(d) Q5
GM GM1 IS.I.OR.200(d)#supported §1	§IS.I.OR.200(d) Q6
GM GM1 IS.I.OR.200(d)#supported §2	§IS.I.OR.200(d) Q7
GM GM1 IS.I.OR.200(d)#supported §3	§IS.I.OR.200(d) Q8
GM GM1 IS.I.OR.200(d)#supported §4	§IS.I.OR.200(d) Q9
GM GM1 IS.I.OR.200(d)#supported §5	§IS.I.OR.200(d) Q10
GM GM1 IS.I.OR.200(d)#supported §6	§IS.I.OR.200(d) Q11
GM GM1 IS.I.OR.200(d)#supported §7	§IS.I.OR.200(d) Q12
GM GM1 IS.I.OR.200(d)#integration §1	§IS.I.OR.200(d) Q13
GM GM1 IS.I.OR.200(d)#integration §2	§IS.I.OR.200(d) Q14

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.200(d)#integration §3	§IS.I.OR.200(d) Q15
GM GM1 IS.I.OR.200(d)#integration §4	§IS.I.OR.200(d) Q16
GM GM1 IS.I.OR.200(d)#integration §5	§IS.I.OR.200(d) Q17
GM GM1 IS.I.OR.200(d)#integration §6	§IS.I.OR.200(d) Q18
GM GM1 IS.I.OR.200(d)#integration §7	§IS.I.OR.200(d) Q19
GM GM1 IS.I.OR.200(e)#preamble §1	§IS.I.OR.200(e) Q1
GM GM1 IS.I.OR.200(e)#preamble §2	§IS.I.OR.200(e) Q2
GM GM1 IS.I.OR.200(e)#preamble §3	§IS.I.OR.200(e) Q3
GM GM1 IS.I.OR.200(e)#application §1	§IS.I.OR.200(e) Q4
GM GM1 IS.I.OR.200(e)#application §2	§IS.I.OR.200(e) Q5
GM GM1 IS.I.OR.200(e)#application §3	§IS.I.OR.200(e) Q6
GM GM1 IS.I.OR.200(e)#application §4	§IS.I.OR.200(e) Q7
GM GM1 IS.I.OR.200(e)#post §1	§IS.I.OR.200(e) Q8
GM GM1 IS.I.OR.200(e)#post §2	§IS.I.OR.200(e) Q9
GM GM1 IS.I.OR.200(e)#post §3	§IS.I.OR.200(e) Q10
GM GM1 IS.I.OR.200(e)#post §4	§IS.I.OR.200(e) Q11
GM GM1 IS.I.OR.200(e)#post §5	§IS.I.OR.200(e) Q12
GM GM1 IS.I.OR.200(e)#post §6	§IS.I.OR.200(e) Q13
GM GM1 IS.I.OR.200(e)#post §7	§IS.I.OR.200(e) Q14
GM GM1 IS.I.OR.200(e)#post §8	§IS.I.OR.200(e) Q15
GM GM1 IS.I.OR.200(e)#post §9	§IS.I.OR.200(e) Q16
GM GM1 IS.I.OR.200(e)#post §10	§IS.I.OR.200(e) Q17
GM GM1 IS.I.OR.200(e)#post §11	§IS.I.OR.200(e) Q18

§IS.I.OR.205

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.205(a)(1)	§IS.I.OR.205 Q1
IR IR §IS.I.OR.205(a)(2)	§IS.I.OR.205 Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.205(b)	§IS.I.OR.205 Q3
IR IR §IS.I.OR.205(c)(1)	§IS.I.OR.205 Q4
IR IR §IS.I.OR.205(c)(2)	§IS.I.OR.205 Q5
IR IR §IS.I.OR.205(d)(1)	§IS.I.OR.205 Q9
IR IR §IS.I.OR.205(d)(2)	§IS.I.OR.205 Q10
IR IR §IS.I.OR.205(d)(3)	§IS.I.OR.205 Q11
IR IR §IS.I.OR.205(d)(4)	§IS.I.OR.205 Q12
IR IR §IS.I.OR.205(e)	§IS.I.OR.205 Q13
AMC AMC1 IS.I.OR.205(a)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.205(b)	§IS.I.OR.205(b) Q1
AMC AMC1 IS.I.OR.205(c) §(a)	§IS.I.OR.205(c) Q4
AMC AMC1 IS.I.OR.205(c) §(b)	§IS.I.OR.205(c) Q5
AMC AMC1 IS.I.OR.205(c) §(c)(1)	§IS.I.OR.205(c) Q6
AMC AMC1 IS.I.OR.205(c) §(c)(2)	§IS.I.OR.205(c) Q7
AMC AMC1 IS.I.OR.205(c) §(d)(1)	§IS.I.OR.205(c) Q8
AMC AMC1 IS.I.OR.205(c) §(d)(2)	§IS.I.OR.205(c) Q9
AMC AMC1 IS.I.OR.205(c) §(d)(3)	§IS.I.OR.205(c) Q10
AMC AMC1 IS.I.OR.205(c) §(d)(4)	§IS.I.OR.205(c) Q11
AMC AMC1 IS.I.OR.205(d) §(a)	§IS.I.OR.205(d) Q1
AMC AMC1 IS.I.OR.205(d) §(b)	§IS.I.OR.205(d) Q2
AMC AMC1 IS.I.OR.205(e)	— <i>informational only</i> —
GM GM1 IS.I.OR.205	— <i>informational only</i> —
GM GM1 IS.I.OR.205(a) §(a)	— <i>informational only</i> —
GM GM1 IS.I.OR.205(a) §(b)	— <i>informational only</i> —
GM GM1 IS.I.OR.205(a) §(c)	— <i>informational only</i> —
GM GM1 IS.I.OR.205(a) §(d)	— <i>informational only</i> —
GM GM1 IS.I.OR.205(b) §(a)	§IS.I.OR.205(b) Q2
GM GM1 IS.I.OR.205(b) §(b)	§IS.I.OR.205(b) Q3
GM GM1 IS.I.OR.205(b) §(c)	§IS.I.OR.205(b) Q4

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.205(b) §(d)	§IS.I.OR.205(b) Q5
GM GM1 IS.I.OR.205(b) §(e)	§IS.I.OR.205(b) Q6
GM GM1 IS.I.OR.205(c)	— <i>informational only</i> —
GM GM1 IS.I.OR.205(d) §(a)	§IS.I.OR.205(d) Q4
GM GM1 IS.I.OR.205(d) §(b)	§IS.I.OR.205(d) Q5
GM GM1 IS.I.OR.205(d) §(c)	§IS.I.OR.205(d) Q6
GM GM1 IS.I.OR.205(d) §(d)	§IS.I.OR.205(d) Q7
GM GM1 IS.I.OR.205(d) §(e)	§IS.I.OR.205(d) Q8
GM GM1 IS.I.OR.205(d) §(f)	§IS.I.OR.205(d) Q9
GM GM1 IS.I.OR.205(e)	— <i>informational only</i> —
GM GM2 IS.I.OR.205(b)	— <i>informational only</i> —
GM GM2 IS.I.OR.205(d) §(a)	— <i>informational only</i> —
GM GM2 IS.I.OR.205(d) §(b)	— <i>informational only</i> —
GM GM2 IS.I.OR.205(d) §(c)	— <i>informational only</i> —
GM GM2 IS.I.OR.205(d) §(d)	— <i>informational only</i> —
IR IR §IS.I.OR.205(c)#prose §1	§IS.I.OR.205 Q6
IR IR §IS.I.OR.205(c)#prose §2	§IS.I.OR.205 Q7
IR IR §IS.I.OR.205(c)#prose §3	§IS.I.OR.205 Q8
AMC AMC1 IS.I.OR.205(a)#prose §1	§IS.I.OR.205(a) Q1
AMC AMC1 IS.I.OR.205(a)#prose §2	§IS.I.OR.205(a) Q2
AMC AMC1 IS.I.OR.205(c)#prose §1	§IS.I.OR.205(c) Q1
AMC AMC1 IS.I.OR.205(c)#prose §2	§IS.I.OR.205(c) Q2
AMC AMC1 IS.I.OR.205(c)#prose §3	§IS.I.OR.205(c) Q3
AMC AMC1 IS.I.OR.205(e)#sas §1	§IS.I.OR.205(e) Q1
AMC AMC1 IS.I.OR.205(e)#sas §2	§IS.I.OR.205(e) Q2
AMC AMC1 IS.I.OR.205(e)#sas §3	§IS.I.OR.205(e) Q3
AMC AMC1 IS.I.OR.205(e)#sas §4	§IS.I.OR.205(e) Q4
GM GM1 IS.I.OR.205#prose §1	§IS.I.OR.205 Q1
GM GM1 IS.I.OR.205#prose §2	§IS.I.OR.205 Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.205#prose §3	§IS.I.OR.205 Q3
GM GM1 IS.I.OR.205(a)#prose §1	§IS.I.OR.205(a) Q1
GM GM1 IS.I.OR.205(a)#prose §2	§IS.I.OR.205(a) Q2
GM GM1 IS.I.OR.205(b)#sharing §1	§IS.I.OR.205(b) Q1
GM GM1 IS.I.OR.205(b)#categories §1	§IS.I.OR.205(b) Q7
GM GM1 IS.I.OR.205(b)#categories §2	§IS.I.OR.205(b) Q8
GM GM1 IS.I.OR.205(b)#categories §3	§IS.I.OR.205(b) Q9
GM GM1 IS.I.OR.205(c)#ra §1	§IS.I.OR.205(c) Q1
GM GM1 IS.I.OR.205(c)#ra §2	§IS.I.OR.205(c) Q2
GM GM1 IS.I.OR.205(c)#ra §3	§IS.I.OR.205(c) Q3
GM GM1 IS.I.OR.205(c)#ra §4	§IS.I.OR.205(c) Q4
GM GM1 IS.I.OR.205(c)#ra §5	§IS.I.OR.205(c) Q5
GM GM1 IS.I.OR.205(c)#ra §6	§IS.I.OR.205(c) Q6
GM GM1 IS.I.OR.205(c)#ra §7	§IS.I.OR.205(c) Q7
GM GM1 IS.I.OR.205(c)#ra §8	§IS.I.OR.205(c) Q8
GM GM1 IS.I.OR.205(c)#ra §9	§IS.I.OR.205(c) Q9
GM GM1 IS.I.OR.205(c)#rac §1	§IS.I.OR.205(c) Q10
GM GM1 IS.I.OR.205(c)#rac §2	§IS.I.OR.205(c) Q11
GM GM1 IS.I.OR.205(c)#rac §3	§IS.I.OR.205(c) Q12
GM GM1 IS.I.OR.205(c)#rac §4	§IS.I.OR.205(c) Q13
GM GM1 IS.I.OR.205(c)#rac §5	§IS.I.OR.205(c) Q14
GM GM1 IS.I.OR.205(c)#rac §6	§IS.I.OR.205(c) Q15
GM GM1 IS.I.OR.205(c)#rac §7	§IS.I.OR.205(c) Q16
GM GM1 IS.I.OR.205(c)#rac §8	§IS.I.OR.205(c) Q17
GM GM1 IS.I.OR.205(c)#rac §9	§IS.I.OR.205(c) Q18
GM GM1 IS.I.OR.205(c)#rac §10	§IS.I.OR.205(c) Q19
GM GM1 IS.I.OR.205(c)#rac §11	§IS.I.OR.205(c) Q20
GM GM1 IS.I.OR.205(c)#tsi §1	§IS.I.OR.205(c) Q21

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.205(c)#tsi §2	§IS.I.OR.205(c) Q22
GM GM1 IS.I.OR.205(c)#am §1	§IS.I.OR.205(c) Q23
GM GM1 IS.I.OR.205(c)#ex §1	§IS.I.OR.205(c) Q24
GM GM1 IS.I.OR.205(d)#prose §1	§IS.I.OR.205(d) Q1
GM GM1 IS.I.OR.205(d)#prose §2	§IS.I.OR.205(d) Q2
GM GM1 IS.I.OR.205(d)#prose §3	§IS.I.OR.205(d) Q3
GM GM1 IS.I.OR.205(d)#post §1	§IS.I.OR.205(d) Q10
GM GM1 IS.I.OR.205(d)#post §2	§IS.I.OR.205(d) Q11
GM GM1 IS.I.OR.205(d)#post §3	§IS.I.OR.205(d) Q12
GM GM1 IS.I.OR.205(e)#sas §1	§IS.I.OR.205(e) Q1
GM GM1 IS.I.OR.205(e)#sas §2	§IS.I.OR.205(e) Q2
GM GM1 IS.I.OR.205(e)#sas §3	§IS.I.OR.205(e) Q3

§IS.I.OR.210

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.210(a)(1)	§IS.I.OR.210 Q4
IR IR §IS.I.OR.210(a)(2)	§IS.I.OR.210 Q5
IR IR §IS.I.OR.210(a)(3)	§IS.I.OR.210 Q6
IR IR §IS.I.OR.210(b)	§IS.I.OR.210 Q8
AMC AMC1 IS.I.OR.210(a) §(a)	§IS.I.OR.210(a) Q1
AMC AMC1 IS.I.OR.210(a) §(b)(1)	§IS.I.OR.210(a) Q2
AMC AMC1 IS.I.OR.210(a) §(b)(2)	§IS.I.OR.210(a) Q3
AMC AMC1 IS.I.OR.210(a) §(b)(3)	§IS.I.OR.210(a) Q4
AMC AMC1 IS.I.OR.210(a) §(c)	§IS.I.OR.210(a) Q5
GM GM1 IS.I.OR.210	— <i>informational only</i> —
IR IR §IS.I.OR.210(a)#prose §1	§IS.I.OR.210 Q1
IR IR §IS.I.OR.210(a)#prose §2	§IS.I.OR.210 Q2
IR IR §IS.I.OR.210(a)#prose §3	§IS.I.OR.210 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.210(a)#prose §4	§IS.I.OR.210 Q7
IR IR §IS.I.OR.210(b)#prose §1	§IS.I.OR.210 Q9
GM GM1 IS.I.OR.210#lifecycle §1	§IS.I.OR.210 Q1
GM GM1 IS.I.OR.210#lifecycle §2	§IS.I.OR.210 Q2
GM GM1 IS.I.OR.210#lifecycle §3	§IS.I.OR.210 Q3
GM GM1 IS.I.OR.210#lifecycle §4	§IS.I.OR.210 Q4
GM GM1 IS.I.OR.210#lifecycle §5	§IS.I.OR.210 Q5
GM GM1 IS.I.OR.210#review §1	§IS.I.OR.210 Q6
GM GM1 IS.I.OR.210#plan §1	§IS.I.OR.210 Q7
GM GM1 IS.I.OR.210#plan §2	§IS.I.OR.210 Q8
GM GM1 IS.I.OR.210#delay §1	§IS.I.OR.210 Q9
GM GM1 IS.I.OR.210#delay §2	§IS.I.OR.210 Q10
GM GM1 IS.I.OR.210#delay §3	§IS.I.OR.210 Q11
GM GM1 IS.I.OR.210#delay §4	§IS.I.OR.210 Q12
GM GM1 IS.I.OR.210#comm §1	§IS.I.OR.210 Q13
GM GM1 IS.I.OR.210#comm §2	§IS.I.OR.210 Q14
GM GM1 IS.I.OR.210#review §2	§IS.I.OR.210 Q15
GM GM1 IS.I.OR.210#review §3	§IS.I.OR.210 Q16

§IS.I.OR.215

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.215(a)	§IS.I.OR.215 Q1
IR IR §IS.I.OR.215(b)(1)	§IS.I.OR.215 Q2
IR IR §IS.I.OR.215(b)(2)	— <i>informational only</i> —
IR IR §IS.I.OR.215(b)(3)	§IS.I.OR.215 Q5
IR IR §IS.I.OR.215(b)(4)	§IS.I.OR.215 Q6
IR IR §IS.I.OR.215(c)	— <i>informational only</i> —
IR IR §IS.I.OR.215(d)	§IS.I.OR.215 Q10

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.215(e)	§IS.I.OR.215 Q11
GM GM1 IS.I.OR.215(c)	— <i>informational only</i> —
GM GM1 IS.I.OR.215(d)	— <i>informational only</i> —
IR IR §IS.I.OR.215(b)(2)#prose §1	§IS.I.OR.215 Q3
IR IR §IS.I.OR.215(b)(2)#prose §2	§IS.I.OR.215 Q4
IR IR §IS.I.OR.215(c)#prose §1	§IS.I.OR.215 Q7
IR IR §IS.I.OR.215(c)#prose §2	§IS.I.OR.215 Q8
IR IR §IS.I.OR.215(c)#prose §3	§IS.I.OR.215 Q9
AMC AMC1 IS.I.OR.215(a)&(b)#source §1	§IS.I.OR.215(a)&(b) Q1
AMC AMC1 IS.I.OR.215(a)&(b)#source §2	§IS.I.OR.215(a)&(b) Q2
AMC AMC1 IS.I.OR.215(a)&(b)#source §3	§IS.I.OR.215(a)&(b) Q3
AMC AMC1 IS.I.OR.215(a)&(b)#analysis §1	§IS.I.OR.215(a)&(b) Q4
AMC AMC1 IS.I.OR.215(a)&(b)#analysis §2	§IS.I.OR.215(a)&(b) Q5
AMC AMC1 IS.I.OR.215(a)&(b)#analysis §3	§IS.I.OR.215(a)&(b) Q6
AMC AMC1 IS.I.OR.215(a)&(b)#vuln §1	§IS.I.OR.215(a)&(b) Q7
AMC AMC1 IS.I.OR.215(a)&(b)#stake §1	§IS.I.OR.215(a)&(b) Q8
GM GM1 IS.I.OR.215(a)&(b)#prose §1	§IS.I.OR.215(a)&(b) Q1
GM GM1 IS.I.OR.215(a)&(b)#prose §2	§IS.I.OR.215(a)&(b) Q2
GM GM1 IS.I.OR.215(a)&(b)#prose §3	§IS.I.OR.215(a)&(b) Q3
GM GM2 IS.I.OR.215(a)&(b)#prose §1	§IS.I.OR.215(a)&(b) Q1
GM GM2 IS.I.OR.215(a)&(b)#prose §2	§IS.I.OR.215(a)&(b) Q2
GM GM3 IS.I.OR.215(a)&(b)#prose §1	§IS.I.OR.215(a)&(b) Q1
GM GM1 IS.I.OR.215(c)#prose §1	§IS.I.OR.215(c) Q1
GM GM1 IS.I.OR.215(c)#prose §2	§IS.I.OR.215(c) Q2
GM GM1 IS.I.OR.215(c)#prose §3	§IS.I.OR.215(c) Q3
GM GM1 IS.I.OR.215(c)#prose §4	§IS.I.OR.215(c) Q4
GM GM1 IS.I.OR.215(d)#prose §1	§IS.I.OR.215(d) Q1
GM GM1 IS.I.OR.215(d)#prose §2	§IS.I.OR.215(d) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.215(d)#prose §3	§IS.I.OR.215(d) Q3
GM GM1 IS.I.OR.215(d)#prose §4	§IS.I.OR.215(d) Q4
GM GM1 IS.I.OR.215(d)#prose §5	§IS.I.OR.215(d) Q5

§IS.I.OR.220

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.220(a)(1)	§IS.I.OR.220 Q2
IR IR §IS.I.OR.220(a)(2)	§IS.I.OR.220 Q3
IR IR §IS.I.OR.220(b)(1)	§IS.I.OR.220 Q5
IR IR §IS.I.OR.220(b)(2)	§IS.I.OR.220 Q6
IR IR §IS.I.OR.220(b)(3)	§IS.I.OR.220 Q7
IR IR §IS.I.OR.220(c)(1)	§IS.I.OR.220 Q9
IR IR §IS.I.OR.220(c)(2)	§IS.I.OR.220 Q10
AMC AMC1 IS.I.OR.220(a) §(a)	§IS.I.OR.220(a) Q3
AMC AMC1 IS.I.OR.220(a) §(b)	§IS.I.OR.220(a) Q4
AMC AMC1 IS.I.OR.220(b) §(a)(1)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(2)(i)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(2)(ii)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(2)(iii)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(3)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(4)(i)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(4)(ii)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(4)(iii)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(a)(5)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(b)(1)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(b)(2)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(b) §(b)(3)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.220(c) §(a)	§IS.I.OR.220(c) Q2
AMC AMC1 IS.I.OR.220(c) §(b)	§IS.I.OR.220(c) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 IS.I.OR.220(c) §(c)	§IS.I.OR.220(c) Q4
AMC AMC1 IS.I.OR.220(c) §(d)	§IS.I.OR.220(c) Q5
GM GM1 IS.I.OR.220	— <i>informational only</i> —
GM GM1 IS.I.OR.220(a) §(a)	§IS.I.OR.220(a) Q2
GM GM1 IS.I.OR.220(a) §(b)	§IS.I.OR.220(a) Q3
GM GM1 IS.I.OR.220(b)	— <i>informational only</i> —
GM GM1 IS.I.OR.220(c)	— <i>informational only</i> —
IR IR §IS.I.OR.220(a)#prose §1	§IS.I.OR.220 Q1
IR IR §IS.I.OR.220(b)#prose §1	§IS.I.OR.220 Q4
IR IR §IS.I.OR.220(c)#prose §1	§IS.I.OR.220 Q8
GM GM1 IS.I.OR.220#prose §1	§IS.I.OR.220 Q1
GM GM1 IS.I.OR.220#prose §2	§IS.I.OR.220 Q2
AMC AMC1 IS.I.OR.220(a)#detect §1	§IS.I.OR.220(a) Q1
AMC AMC1 IS.I.OR.220(a)#detect §2	§IS.I.OR.220(a) Q2
AMC AMC1 IS.I.OR.220(a)#strategy §1	§IS.I.OR.220(a) Q5
GM GM1 IS.I.OR.220(a)#prose §1	§IS.I.OR.220(a) Q1
GM GM1 IS.I.OR.220(a)#prose §2	§IS.I.OR.220(a) Q4
AMC AMC1 IS.I.OR.220(b)#inc §1	§IS.I.OR.220(b) Q1
AMC AMC1 IS.I.OR.220(b)#inc §2	§IS.I.OR.220(b) Q2
AMC AMC1 IS.I.OR.220(b)#inc §3	§IS.I.OR.220(b) Q3
AMC AMC1 IS.I.OR.220(b)#inc §4	§IS.I.OR.220(b) Q4
AMC AMC1 IS.I.OR.220(b)#inc §5	§IS.I.OR.220(b) Q5
AMC AMC1 IS.I.OR.220(b)#inc §6	§IS.I.OR.220(b) Q6
AMC AMC1 IS.I.OR.220(b)#inc §7	§IS.I.OR.220(b) Q7
AMC AMC1 IS.I.OR.220(b)#inc §8	§IS.I.OR.220(b) Q8
AMC AMC1 IS.I.OR.220(b)#inc §9	§IS.I.OR.220(b) Q9
AMC AMC1 IS.I.OR.220(b)#inc §10	§IS.I.OR.220(b) Q10
AMC AMC1 IS.I.OR.220(b)#vuln §1	§IS.I.OR.220(b) Q11

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 IS.I.OR.220(b)#vuln §2	§IS.I.OR.220(b) Q12
AMC AMC1 IS.I.OR.220(b)#vuln §3	§IS.I.OR.220(b) Q13
GM GM1 IS.I.OR.220(b)#warning §1	§IS.I.OR.220(b) Q1
AMC AMC1 IS.I.OR.220(c)#prose §1	§IS.I.OR.220(c) Q1
GM GM1 IS.I.OR.220(b)&(c)#recov §1	§IS.I.OR.220(b)&(c) Q1
GM GM1 IS.I.OR.220(b)&(c)#recov §2	§IS.I.OR.220(b)&(c) Q2
GM GM1 IS.I.OR.220(c)#prose §1	§IS.I.OR.220(c) Q1
GM GM1 IS.I.OR.220(c)#prose §2	§IS.I.OR.220(c) Q2
GM GM1 IS.I.OR.220(c)#prose §3	§IS.I.OR.220(c) Q3
GM GM1 IS.I.OR.220(c)#prose §4	§IS.I.OR.220(c) Q4
GM GM1 IS.I.OR.220(c)#prose §5	§IS.I.OR.220(c) Q5

§IS.I.OR.220(b)&

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.220(b)&(c)	— <i>informational only</i> —

§IS.I.OR.225

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.225(a)(1)	§IS.I.OR.225 Q1
IR IR §IS.I.OR.225(a)(2)	§IS.I.OR.225 Q2
IR IR §IS.I.OR.225(a)(3)	§IS.I.OR.225 Q3
IR IR §IS.I.OR.225(b)	§IS.I.OR.225 Q4
AMC AMC1 IS.I.OR.225	§IS.I.OR.225 Q1
GM GM1 IS.I.OR.225	§IS.I.OR.225 Q1

§IS.I.OR.230

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.230(a)	§IS.I.OR.230 Q1
IR IR §IS.I.OR.230(b)(1)	§IS.I.OR.230 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.230(b)(2)	§IS.I.OR.230 Q4
IR IR §IS.I.OR.230(c)(1)	§IS.I.OR.230 Q5
IR IR §IS.I.OR.230(c)(2)	§IS.I.OR.230 Q6
IR IR §IS.I.OR.230(c)(3)	§IS.I.OR.230 Q8
AMC AMC1 IS.I.OR.230(c)	§IS.I.OR.230(c) Q1
GM GM1 IS.I.OR.230	— <i>informational only</i> —
GM GM1 IS.I.OR.230(c)	§IS.I.OR.230(c) Q1
IR IR §IS.I.OR.230(b)#prose §1	§IS.I.OR.230 Q2
IR IR §IS.I.OR.230(c)(2)#form §1	§IS.I.OR.230 Q7
IR IR §IS.I.OR.230(c)(3)#form §1	§IS.I.OR.230 Q9
GM GM1 IS.I.OR.230#cases §1	§IS.I.OR.230 Q1
GM GM1 IS.I.OR.230#cases §2	§IS.I.OR.230 Q2
GM GM1 IS.I.OR.230#cases §3	§IS.I.OR.230 Q3
GM GM1 IS.I.OR.230#cases §4	§IS.I.OR.230 Q4
GM GM1 IS.I.OR.230#cases §5	§IS.I.OR.230 Q5
GM GM1 IS.I.OR.230(a)&(b)#rel §1	§IS.I.OR.230(a)&(b) Q1
GM GM1 IS.I.OR.230(a)&(b)#rel §2	§IS.I.OR.230(a)&(b) Q2
GM GM1 IS.I.OR.230(a)&(b)#rel §3	§IS.I.OR.230(a)&(b) Q3
GM GM1 IS.I.OR.230(a)&(b)#rel §4	§IS.I.OR.230(a)&(b) Q4
GM GM1 IS.I.OR.230(a)&(b)#followup §1	§IS.I.OR.230(a)&(b) Q5
GM GM1 IS.I.OR.230(a)&(b)#sig §1	§IS.I.OR.230(a)&(b) Q6
GM GM1 IS.I.OR.230(a)&(b)#sig §2	§IS.I.OR.230(a)&(b) Q7

§IS.I.OR.230(a)&

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 IS.I.OR.230(a)&(b) §(a)	§IS.I.OR.230(a)&(b) Q1
AMC AMC1 IS.I.OR.230(a)&(b) §(b)	§IS.I.OR.230(a)&(b) Q2
AMC AMC1 IS.I.OR.230(a)&(b) §(c)	§IS.I.OR.230(a)&(b) Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.230(a)&(b)	– <i>informational only</i> –

§IS.I.OR.235

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.235(a)	– <i>informational only</i> –
IR IR §IS.I.OR.235(b)	§IS.I.OR.235 Q4
AMC AMC1 IS.I.OR.235(a) §(a)(1)	§IS.I.OR.235(a) Q1
AMC AMC1 IS.I.OR.235(a) §(a)(2)	– <i>informational only</i> –
AMC AMC1 IS.I.OR.235(a) §(a)(i)	§IS.I.OR.235(a) Q2
AMC AMC1 IS.I.OR.235(a) §(a)(ii)	§IS.I.OR.235(a) Q3
AMC AMC1 IS.I.OR.235(a) §(a)(iii)	§IS.I.OR.235(a) Q4
AMC AMC1 IS.I.OR.235(a) §(a)(iv)	§IS.I.OR.235(a) Q5
AMC AMC1 IS.I.OR.235(a) §(a)(v)	§IS.I.OR.235(a) Q6
AMC AMC1 IS.I.OR.235(a) §(a)(vi)	§IS.I.OR.235(a) Q7
AMC AMC1 IS.I.OR.235(a) §(b)(1)	§IS.I.OR.235(a) Q9
AMC AMC1 IS.I.OR.235(a) §(b)(2)	§IS.I.OR.235(a) Q10
AMC AMC1 IS.I.OR.235(a) §(b)(3)	§IS.I.OR.235(a) Q11
AMC AMC1 IS.I.OR.235(b)	– <i>informational only</i> –
GM GM1 IS.I.OR.235 §(a)	§IS.I.OR.235 Q1
GM GM1 IS.I.OR.235 §(b)	§IS.I.OR.235 Q2
GM GM1 IS.I.OR.235 §(c)	§IS.I.OR.235 Q3
GM GM1 IS.I.OR.235(b)	– <i>informational only</i> –
GM GM2 IS.I.OR.235 §(a)	§IS.I.OR.235 Q1
GM GM2 IS.I.OR.235 §(b)	– <i>informational only</i> –
GM GM2 IS.I.OR.235(a)	– <i>informational only</i> –
GM GM3 IS.I.OR.235	– <i>informational only</i> –
IR IR §IS.I.OR.235(a)#oblig §1	§IS.I.OR.235 Q1
IR IR §IS.I.OR.235(a)#oblig §2	§IS.I.OR.235 Q2
IR IR §IS.I.OR.235(a)#oblig §3	§IS.I.OR.235 Q3

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM3 IS.I.OR.235#examples §1	– <i>informational only</i> –
AMC AMC1 IS.I.OR.235(a)#note §1	§IS.I.OR.235(a) Q8
GM GM1 IS.I.OR.235(a)#prior §1	§IS.I.OR.235(a) Q1
GM GM1 IS.I.OR.235(a)#risk §1	§IS.I.OR.235(a) Q2
GM GM1 IS.I.OR.235(a)#risk §(a)	§IS.I.OR.235(a) Q3
GM GM1 IS.I.OR.235(a)#risk §(b)	§IS.I.OR.235(a) Q4
GM GM1 IS.I.OR.235(a)#risk §(c)	§IS.I.OR.235(a) Q5
GM GM1 IS.I.OR.235(a)#risk §(d)	§IS.I.OR.235(a) Q6
GM GM1 IS.I.OR.235(a)#risk §2	§IS.I.OR.235(a) Q7
GM GM2 IS.I.OR.235(a)#prose §1	§IS.I.OR.235(a) Q1
GM GM2 IS.I.OR.235(a)#prose §2	§IS.I.OR.235(a) Q2
GM GM2 IS.I.OR.235(a)#prose §3	§IS.I.OR.235(a) Q3
AMC AMC1 IS.I.OR.235(b)#prose §1	§IS.I.OR.235(b) Q1
AMC AMC1 IS.I.OR.235(b)#prose §2	§IS.I.OR.235(b) Q2
GM GM1 IS.I.OR.235(b)#prose §1	§IS.I.OR.235(b) Q1
GM GM1 IS.I.OR.235(b)#prose §2	§IS.I.OR.235(b) Q2

§IS.I.OR.240

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.240(a)(1)	§IS.I.OR.240 Q2
IR IR §IS.I.OR.240(a)(2)	§IS.I.OR.240 Q3
IR IR §IS.I.OR.240(a)(3)	§IS.I.OR.240 Q4
IR IR §IS.I.OR.240(b)	§IS.I.OR.240 Q5
IR IR §IS.I.OR.240(c)	§IS.I.OR.240 Q8
IR IR §IS.I.OR.240(d)	§IS.I.OR.240 Q9
IR IR §IS.I.OR.240(e)	§IS.I.OR.240 Q11
IR IR §IS.I.OR.240(f)	§IS.I.OR.240 Q12
IR IR §IS.I.OR.240(g)	§IS.I.OR.240 Q13

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.240(h)	§IS.I.OR.240 Q14
IR IR §IS.I.OR.240(i)	§IS.I.OR.240 Q15
AMC AMC1 IS.I.OR.240(a)(2)	§IS.I.OR.240(a)(2) Q1
AMC AMC1 IS.I.OR.240(a)(3)	§IS.I.OR.240(a)(3) Q1
AMC AMC1 IS.I.OR.240(b)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.240(d) §(a)	§IS.I.OR.240(d) Q1
AMC AMC1 IS.I.OR.240(d) §(b)	§IS.I.OR.240(d) Q2
AMC AMC1 IS.I.OR.240(d) §(c)	§IS.I.OR.240(d) Q3
AMC AMC1 IS.I.OR.240(d) §(d)	§IS.I.OR.240(d) Q4
AMC AMC1 IS.I.OR.240(f) §(a)	§IS.I.OR.240(f) Q1
AMC AMC1 IS.I.OR.240(f) §(b)	§IS.I.OR.240(f) Q2
AMC AMC1 IS.I.OR.240(f) §(c)	§IS.I.OR.240(f) Q3
AMC AMC1 IS.I.OR.240(g) §(a)(1)	§IS.I.OR.240(g) Q1
AMC AMC1 IS.I.OR.240(g) §(a)(2)	§IS.I.OR.240(g) Q2
AMC AMC1 IS.I.OR.240(g) §(b)(1)	§IS.I.OR.240(g) Q3
AMC AMC1 IS.I.OR.240(g) §(b)(2)	§IS.I.OR.240(g) Q4
AMC AMC1 IS.I.OR.240(g) §(b)(3)	§IS.I.OR.240(g) Q5
AMC AMC1 IS.I.OR.240(h)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.240(i)	— <i>informational only</i> —
GM GM1 IS.I.OR.240 §(a)	§IS.I.OR.240 Q1
GM GM1 IS.I.OR.240 §(b)	§IS.I.OR.240 Q2
GM GM1 IS.I.OR.240(a)(3)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(b)	§IS.I.OR.240(b) Q1
GM GM1 IS.I.OR.240(c)	§IS.I.OR.240(c) Q1
GM GM1 IS.I.OR.240(e)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(f)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(g)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(h)	§IS.I.OR.240(h) Q1
GM GM1 IS.I.OR.240(i) §(a)(1)(i)	§IS.I.OR.240(i) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.240(i) §(a)(1)(ii)	§IS.I.OR.240(i) Q3
GM GM1 IS.I.OR.240(i) §(a)(1)(iii)	§IS.I.OR.240(i) Q4
GM GM1 IS.I.OR.240(i) §(a)(2)	§IS.I.OR.240(i) Q5
GM GM1 IS.I.OR.240(i) §(b)(1)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(i) §(b)(2)	— <i>informational only</i> —
GM GM1 IS.I.OR.240(i) §(c)	§IS.I.OR.240(i) Q7
GM GM1 IS.I.OR.240(i) §(d)	§IS.I.OR.240(i) Q8
GM GM1 IS.I.OR.240(b)&(c)	— <i>informational only</i> —
IR IR §IS.I.OR.240(a)#prose §1	§IS.I.OR.240 Q1
IR IR §IS.I.OR.240(b)#prose §2	§IS.I.OR.240 Q6
IR IR §IS.I.OR.240(b)#prose §3	§IS.I.OR.240 Q7
IR IR §IS.I.OR.240(d)#prose §2	§IS.I.OR.240 Q10
AMC AMC1 IS.I.OR.240(b)#prose §1	§IS.I.OR.240(b) Q1
AMC AMC1 IS.I.OR.240(b)#prose §2.1	§IS.I.OR.240(b) Q2
AMC AMC1 IS.I.OR.240(b)#prose §2.2	§IS.I.OR.240(b) Q3
AMC AMC1 IS.I.OR.240(b)#prose §3	§IS.I.OR.240(b) Q4
AMC AMC1 IS.I.OR.240(b)#prose §4.1	§IS.I.OR.240(b) Q5
AMC AMC1 IS.I.OR.240(b)#prose §4.2	§IS.I.OR.240(b) Q6
AMC AMC1 IS.I.OR.240(b)#prose §4.3	§IS.I.OR.240(b) Q7
AMC AMC1 IS.I.OR.240(b)#prose §5	§IS.I.OR.240(b) Q8
AMC AMC1 IS.I.OR.240(h)#prose §1	§IS.I.OR.240(h) Q1
AMC AMC1 IS.I.OR.240(h)#prose §2	§IS.I.OR.240(h) Q2
AMC AMC1 IS.I.OR.240(i)#prose §1	§IS.I.OR.240(i) Q1
AMC AMC1 IS.I.OR.240(i)#prose §2	§IS.I.OR.240(i) Q2
GM GM1 IS.I.OR.240(a)(3)#prose §1	§IS.I.OR.240(a)(3) Q1
GM GM1 IS.I.OR.240(a)(3)#prose §2	§IS.I.OR.240(a)(3) Q2
GM GM1 IS.I.OR.240(b)&(c)#prose §1.1	§IS.I.OR.240(b)&(c) Q1
GM GM1 IS.I.OR.240(b)&(c)#prose §1.2	§IS.I.OR.240(b)&(c) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.240(e)#prose §1.1	§IS.I.OR.240(e) Q1
GM GM1 IS.I.OR.240(e)#prose §1.2	§IS.I.OR.240(e) Q2
GM GM1 IS.I.OR.240(e)#prose §1.3	§IS.I.OR.240(e) Q3
GM GM1 IS.I.OR.240(e)#prose §2	§IS.I.OR.240(e) Q4
GM GM1 IS.I.OR.240(e)#prose §3	§IS.I.OR.240(e) Q5
GM GM1 IS.I.OR.240(f)#prose §1	§IS.I.OR.240(f) Q1
GM GM1 IS.I.OR.240(f)#prose §2	§IS.I.OR.240(f) Q2
GM GM1 IS.I.OR.240(g)#prose §1	§IS.I.OR.240(g) Q1
GM GM1 IS.I.OR.240(g)#prose §2	§IS.I.OR.240(g) Q2
GM GM1 IS.I.OR.240(g)#prose §3	§IS.I.OR.240(g) Q3
GM GM1 IS.I.OR.240(g)#prose §4	§IS.I.OR.240(g) Q4
GM GM1 IS.I.OR.240(g)#prose §5	§IS.I.OR.240(g) Q5
GM GM1 IS.I.OR.240(g)#prose §6	§IS.I.OR.240(g) Q6
GM GM1 IS.I.OR.240(i) §(a)(1)	§IS.I.OR.240(i) Q1
GM GM1 IS.I.OR.240(i) §(b)	§IS.I.OR.240(i) Q6

§IS.I.OR.245

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.245(a)(1)(i)	§IS.I.OR.245 Q1
IR IR §IS.I.OR.245(a)(1)(ii)	§IS.I.OR.245 Q2
IR IR §IS.I.OR.245(a)(1)(iii)	§IS.I.OR.245 Q3
IR IR §IS.I.OR.245(a)(1)(iv)	§IS.I.OR.245 Q4
IR IR §IS.I.OR.245(a)(1)(v)	§IS.I.OR.245 Q5
IR IR §IS.I.OR.245(a)(1)(vi)	§IS.I.OR.245 Q6
IR IR §IS.I.OR.245(a)(2)	§IS.I.OR.245 Q7
IR IR §IS.I.OR.245(a)(3)	§IS.I.OR.245 Q8
IR IR §IS.I.OR.245(a)(4)	§IS.I.OR.245 Q9
IR IR §IS.I.OR.245(a)(5)	§IS.I.OR.245 Q10

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.245(b)(1)	§IS.I.OR.245 Q11
IR IR §IS.I.OR.245(b)(2)	§IS.I.OR.245 Q12
IR IR §IS.I.OR.245(c)	§IS.I.OR.245 Q13
IR IR §IS.I.OR.245(d)	— <i>informational only</i> —
GM GM1 IS.I.OR.245	— <i>informational only</i> —
AMC AMC1 IS.I.OR.245(a)(1)(vi)&(a)(5) §(a)	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q1
AMC AMC1 IS.I.OR.245(a)(1)(vi)&(a)(5) §(b)	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q2
AMC AMC1 IS.I.OR.245(a)(1)(vi)&(a)(5) §(c)	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q3
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.245(c)&(d) §(a)	§IS.I.OR.245(c)&(d) Q1
AMC AMC1 IS.I.OR.245(c)&(d) §(b)	§IS.I.OR.245(c)&(d) Q2
AMC AMC1 IS.I.OR.245(c)&(d) §(c)	§IS.I.OR.245(c)&(d) Q3
AMC AMC1 IS.I.OR.245(c)&(d) §(d)	§IS.I.OR.245(c)&(d) Q4
GM GM1 IS.I.OR.245(c)&(d)	— <i>informational only</i> —
IR IR §IS.I.OR.245(d)#prose §1	§IS.I.OR.245 Q14
IR IR §IS.I.OR.245(d)#prose §2	§IS.I.OR.245 Q15
GM GM1 IS.I.OR.245#prose §1	§IS.I.OR.245 Q1
GM GM1 IS.I.OR.245#prose §2	§IS.I.OR.245 Q2
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)#prose §1.1	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q1
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)#prose §1.2	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q2
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)#prose §2	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q3
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)#prose §3	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q4
GM GM1 IS.I.OR.245(a)(1)(vi)&(a)(5)#prose §4	§IS.I.OR.245(a)(1)(vi)&(a)(5) Q5
GM GM1 IS.I.OR.245(c)&(d)#access §1	§IS.I.OR.245(c)&(d) Q1
GM GM1 IS.I.OR.245(c)&(d)#access §2	§IS.I.OR.245(c)&(d) Q2
GM GM1 IS.I.OR.245(c)&(d)#access §3	§IS.I.OR.245(c)&(d) Q3
GM GM1 IS.I.OR.245(c)&(d)#access §4	§IS.I.OR.245(c)&(d) Q4
GM GM1 IS.I.OR.245(c)&(d)#integ §1	§IS.I.OR.245(c)&(d) Q5

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.245(c)&(d)#integ §2	§IS.I.OR.245(c)&(d) Q6
GM GM1 IS.I.OR.245(c)&(d)#integ §3	§IS.I.OR.245(c)&(d) Q7
GM GM1 IS.I.OR.245(c)&(d)#integ §4	§IS.I.OR.245(c)&(d) Q8

§IS.I.OR.250

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.250(a)(1)	§IS.I.OR.250 Q2
IR IR §IS.I.OR.250(a)(10)	§IS.I.OR.250 Q13
IR IR §IS.I.OR.250(a)(2)	§IS.I.OR.250 Q3
IR IR §IS.I.OR.250(a)(3)	§IS.I.OR.250 Q4
IR IR §IS.I.OR.250(a)(4)	§IS.I.OR.250 Q5
IR IR §IS.I.OR.250(a)(5)	§IS.I.OR.250 Q6
IR IR §IS.I.OR.250(a)(6)	§IS.I.OR.250 Q7
IR IR §IS.I.OR.250(a)(7)	§IS.I.OR.250 Q8
IR IR §IS.I.OR.250(a)(8)	§IS.I.OR.250 Q9
IR IR §IS.I.OR.250(a)(9)(i)	§IS.I.OR.250 Q10
IR IR §IS.I.OR.250(a)(9)(ii)	§IS.I.OR.250 Q11
IR IR §IS.I.OR.250(a)(9)(iii)	§IS.I.OR.250 Q12
IR IR §IS.I.OR.250(b)	— <i>informational only</i> —
IR IR §IS.I.OR.250(c)	— <i>informational only</i> —
IR IR §IS.I.OR.250(d)	§IS.I.OR.250 Q17
GM GM1 IS.I.OR.250(a)	— <i>informational only</i> —
IR IR §IS.I.OR.250(a)#prose §1	§IS.I.OR.250 Q1
IR IR §IS.I.OR.250(b)#prose §1	§IS.I.OR.250 Q14
IR IR §IS.I.OR.250(b)#prose §2	§IS.I.OR.250 Q15
IR IR §IS.I.OR.250(b)#prose §3	— <i>informational only</i> —
IR IR §IS.I.OR.250(c)#prose §1	§IS.I.OR.250 Q16
IR IR §IS.I.OR.250(c)#prose §2	— <i>informational only</i> —
GM GM1 IS.I.OR.250(a)#prose §1.1	§IS.I.OR.250(a) Q1

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.250(a)#prose §1.2	§IS.I.OR.250(a) Q2
GM GM1 IS.I.OR.250(a)#prose §2.1	§IS.I.OR.250(a) Q3
GM GM1 IS.I.OR.250(a)#prose §2.2	§IS.I.OR.250(a) Q4
GM GM1 IS.I.OR.250(a)#prose §3	§IS.I.OR.250(a) Q5

§IS.I.OR.255

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.255(a)	— <i>informational only</i> —
IR IR §IS.I.OR.255(b)(1)	§IS.I.OR.255 Q4
IR IR §IS.I.OR.255(b)(2)	§IS.I.OR.255 Q5
IR IR §IS.I.OR.255(b)(3)	§IS.I.OR.255 Q6
IR IR §IS.I.OR.255(b)(4)	§IS.I.OR.255 Q7
AMC AMC1 IS.I.OR.255	— <i>informational only</i> —
GM GM1 IS.I.OR.255	— <i>informational only</i> —
GM GM2 IS.I.OR.255 §(a)	— <i>informational only</i> —
GM GM2 IS.I.OR.255 §(b)	— <i>informational only</i> —
GM GM2 IS.I.OR.255 §(c)	— <i>informational only</i> —
GM GM2 IS.I.OR.255 §(d)	— <i>informational only</i> —
IR IR §IS.I.OR.255(a)#prose §1	§IS.I.OR.255 Q1
IR IR §IS.I.OR.255(a)#prose §2	§IS.I.OR.255 Q2
IR IR §IS.I.OR.255(b)#prose §1	§IS.I.OR.255 Q3
AMC AMC1 IS.I.OR.255#prose §1.1	§IS.I.OR.255 Q1
AMC AMC1 IS.I.OR.255#prose §1.2	§IS.I.OR.255 Q2
AMC AMC1 IS.I.OR.255#prose §1.3	§IS.I.OR.255 Q3
AMC AMC1 IS.I.OR.255#prose §2.1	§IS.I.OR.255 Q4
AMC AMC1 IS.I.OR.255#prose §2.2	§IS.I.OR.255 Q5
AMC AMC1 IS.I.OR.255#prose §2.3	§IS.I.OR.255 Q6
AMC AMC1 IS.I.OR.255#prose §2.4	§IS.I.OR.255 Q7
AMC AMC1 IS.I.OR.255#prose §3	§IS.I.OR.255 Q8

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.255#prose §1	§IS.I.OR.255 Q1
GM GM1 IS.I.OR.255#prose §2	§IS.I.OR.255 Q2
GM GM1 IS.I.OR.255#prose §3	§IS.I.OR.255 Q3
GM GM2 IS.I.OR.255#cip §1	§IS.I.OR.255 Q1
GM GM2 IS.I.OR.255#impact §1	— <i>informational only</i> —
GM GM2 IS.I.OR.255#impact §2	— <i>informational only</i> —
GM GM2 IS.I.OR.255#impact §3	— <i>informational only</i> —
GM GM2 IS.I.OR.255#impact §4	— <i>informational only</i> —
GM GM2 IS.I.OR.255#noimpact §1	— <i>informational only</i> —

§IS.I.OR.260

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
IR IR §IS.I.OR.260(a)	— <i>informational only</i> —
IR IR §IS.I.OR.260(b)	— <i>informational only</i> —
AMC AMC1 IS.I.OR.260 §(a)	§IS.I.OR.260 Q3
AMC AMC1 IS.I.OR.260 §(b)	§IS.I.OR.260 Q4
AMC AMC1 IS.I.OR.260 §(c)	§IS.I.OR.260 Q5
AMC AMC1 IS.I.OR.260 §(d)	§IS.I.OR.260 Q6
AMC AMC1 IS.I.OR.260 §(e)	§IS.I.OR.260 Q7
AMC AMC1 IS.I.OR.260(b) §(a)	§IS.I.OR.260(b) Q3
AMC AMC1 IS.I.OR.260(b) §(b)	§IS.I.OR.260(b) Q4
AMC AMC1 IS.I.OR.260(b) §(c)(1)	§IS.I.OR.260(b) Q5
AMC AMC1 IS.I.OR.260(b) §(c)(2)	§IS.I.OR.260(b) Q6
AMC AMC1 IS.I.OR.260(b) §(c)(3)	§IS.I.OR.260(b) Q7
AMC AMC1 IS.I.OR.260(b) §(d)	§IS.I.OR.260(b) Q8
AMC AMC1 IS.I.OR.260(b) §(e)	§IS.I.OR.260(b) Q9
GM GM1 IS.I.OR.260	— <i>informational only</i> —
GM GM1 IS.I.OR.260(a) §(a)(1)	§IS.I.OR.260(a) Q1
GM GM1 IS.I.OR.260(a) §(a)(2)	§IS.I.OR.260(a) Q2

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
GM GM1 IS.I.OR.260(a) §(a)(3)	§IS.I.OR.260(a) Q3
GM GM1 IS.I.OR.260(a) §(a)(4)	§IS.I.OR.260(a) Q4
GM GM1 IS.I.OR.260(a) §(b)	§IS.I.OR.260(a) Q5
GM GM1 IS.I.OR.260(b) §(a)	§IS.I.OR.260(b) Q2
GM GM1 IS.I.OR.260(b) §(b)	§IS.I.OR.260(b) Q3
GM GM1 IS.I.OR.260(b) §(c)	§IS.I.OR.260(b) Q4
GM GM1 IS.I.OR.260(b) §(d)	§IS.I.OR.260(b) Q5
GM GM1 IS.I.OR.260(b) §(e)	§IS.I.OR.260(b) Q6
GM GM1 IS.I.OR.260(b) §(f)	§IS.I.OR.260(b) Q7
GM GM1 IS.I.OR.260(b) §(g)	§IS.I.OR.260(b) Q8
IR IR §IS.I.OR.260(a)#prose §1	§IS.I.OR.260 Q1
IR IR §IS.I.OR.260(a)#prose §2	§IS.I.OR.260 Q2
IR IR §IS.I.OR.260(b)#prose §1	§IS.I.OR.260 Q3
IR IR §IS.I.OR.260(b)#prose §2	§IS.I.OR.260 Q4
AMC AMC1 IS.I.OR.260#prose §1	§IS.I.OR.260 Q1
AMC AMC1 IS.I.OR.260#prose §2	§IS.I.OR.260 Q2
AMC AMC1 IS.I.OR.260#prose §3	§IS.I.OR.260 Q8
AMC AMC1 IS.I.OR.260(a) §(a)(1)	§IS.I.OR.260(a) Q1
AMC AMC1 IS.I.OR.260(a) §(a)(2)	§IS.I.OR.260(a) Q2
AMC AMC1 IS.I.OR.260(a) §(a)(3)	§IS.I.OR.260(a) Q3
AMC AMC1 IS.I.OR.260(a) §(a)#calendar §1	§IS.I.OR.260(a) Q4
AMC AMC1 IS.I.OR.260(a) §(a)#minimum §1	§IS.I.OR.260(a) Q5
AMC AMC1 IS.I.OR.260(a) §(a)#minimum §2	§IS.I.OR.260(a) Q6
AMC AMC1 IS.I.OR.260(a) §(b)#prose §1	§IS.I.OR.260(a) Q7
AMC AMC1 IS.I.OR.260(a) §(b)(1)	§IS.I.OR.260(a) Q8
AMC AMC1 IS.I.OR.260(a) §(b)(2)	§IS.I.OR.260(a) Q9
AMC AMC1 IS.I.OR.260(a) §(b)(3)	§IS.I.OR.260(a) Q10
AMC AMC1 IS.I.OR.260(a) §(c)(1)	§IS.I.OR.260(a) Q11

REGULATION HOOK	AUDIT-CHECKLIST QUESTION(S)
AMC AMC1 IS.I.OR.260(a) §(c)(2)	§IS.I.OR.260(a) Q12
AMC AMC1 IS.I.OR.260(a) §(c)(3)	§IS.I.OR.260(a) Q13
GM GM1 IS.I.OR.260(a)#mm §1	§IS.I.OR.260(a) Q6
AMC AMC1 IS.I.OR.260(b)#prose §1	§IS.I.OR.260(b) Q1
AMC AMC1 IS.I.OR.260(b)#prose §2	§IS.I.OR.260(b) Q2
GM GM1 IS.I.OR.260(b)#prose §1	§IS.I.OR.260(b) Q1
GM GM1 IS.I.OR.260#prose §1	§IS.I.OR.260 Q1
GM GM1 IS.I.OR.260#prose §2.1	§IS.I.OR.260 Q2
GM GM1 IS.I.OR.260#prose §2.2	§IS.I.OR.260 Q3
GM GM1 IS.I.OR.260#prose §3	§IS.I.OR.260 Q4
GM GM1 IS.I.OR.260#prose §4	§IS.I.OR.260 Q5
GM GM1 IS.I.OR.260#prose §5	§IS.I.OR.260 Q6
GM GM1 IS.I.OR.260#prose §6	§IS.I.OR.260 Q7
GM GM1 IS.I.OR.260#prose §7	§IS.I.OR.260 Q8
GM GM1 IS.I.OR.260#prose §8	§IS.I.OR.260 Q9
GM GM1 IS.I.OR.260#fact §1	§IS.I.OR.260 Q10
GM GM1 IS.I.OR.260#fact §2	§IS.I.OR.260 Q11
GM GM1 IS.I.OR.260#fact §3	§IS.I.OR.260 Q12
GM GM1 IS.I.OR.260#opp §1	§IS.I.OR.260 Q13
GM GM1 IS.I.OR.260#opp §2	§IS.I.OR.260 Q14
GM GM1 IS.I.OR.260#sources §1	§IS.I.OR.260 Q15